

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 03/07/2007
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 14G048	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED C 08/30/2006
NAME OF PROVIDER OR SUPPLIER COLONIAL MANOR			STREET ADDRESS, CITY, STATE, ZIP CODE 300 CHURCH STREET ZEIGLER, IL 62999		
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W9999	<p>FINAL OBSERVATIONS</p> <p>LICENSURE VIOLATION</p> <p>350.620a) 350.1210b) 350.1030b)3 350.1030b)6) 350.1030b)7) 350.1230c) 350.1230d)1 350.1230d)2 350.1230d)3) 350.1230e) 350.1230f) 350.1230g) 350.3240a) 350.3240b) 350.3240c) 350.3240d)</p> <p>Section 350.620 Resident Care Policies a) The facility shall have written policies and procedures governing all services provided by the facility which shall be formulated with the involvement of the administrator. The policies shall be available to the staff, residents and the public. These written policies shall be followed in operating the facility and shall be reviewed at least annually.</p> <p>Section 350.1210 Health Services The facility shall provide all services necessary to maintain each resident in good physical health. These services include, but are not limited to, the following: b) Nursing services to provide immediate supervision of the health needs of each resident by a registered professional nurse or a licensed practical nurse, or the equivalent.</p>	W9999			

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W9999	Continued From page 14 Section 350.1230 Nursing Services b) Residents shall be provided with nursing services, in accordance with their needs, which shall include, but are not limited to, the following: The DON shall participate in: 3) Periodic reevaluation of the type, extent, and quality of services and programming. 6) Development of a written plan for each resident to provide for nursing services as part of the total habilitation program. 7) Modification of the resident care plan, in terms of the resident's daily needs, as needed. c) A registered nurse shall participate, as appropriate, in planning and implementing the training of facility personnel. d) Direct care personnel shall be trained in, but are not limited to, the following: 1) Detecting signs of illness, dysfunction or maladaptive behavior that warrant medical, nursing or psychosocial intervention. 2) Basic skills required to meet the health needs and problems of the residents. 3) First aid in the presence of accident or illness. e) Sufficient, appropriately qualified nursing staff shall be available, which may include licensed practical nurses and other supporting personnel, to carry out the various nursing service activities. f) The individual responsible for providing nursing services shall have knowledge and experience in the field of developmental disabilities. g) Nursing service personnel at all levels of competence and experience shall be assigned responsibilities in accordance with their qualifications. Section 350.3240 Abuse and Neglect a) An owner, licensee, administrator, employee or agent of a facility shall not abuse or neglect a	W9999			

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W9999	<p>Continued From page 15</p> <p>resident. (Section 2-107 of the Act)</p> <p>b) A facility employee or agent who becomes aware of abuse or neglect of a resident shall immediately report the matter to the facility administrator. (Section 3-610 of the Act)</p> <p>c) A facility administrator who becomes aware of abuse or neglect of a resident shall immediately report the matter by telephone and in writing to the resident's representative. (Section 3-610 of the Act)</p> <p>d) A facility administrator, employee, or agent who becomes aware of abuse or neglect of a resident shall also report the matter to the Department. (Section 3-610 of the Act)</p> <p>These REGULATIONS are not met as evidenced by the following:</p> <p>Based on interview and record verification, the facility failed to provide comprehensive nursing services for R1 after his medical condition changed resulting in his death when they failed to:</p> <p>1) Monitor R1 after he was initially assessed as having some congestion at 1:30 P.M. on 8/12/06.</p> <p>2) Assess R1 after a change in condition was noted by the facility's evening nurse on 8/12/06 at 7:00 P.M. when she observed R1 to be "lethargic" and acting like he didn't feel well, and at 9:00 P.M. when the nurse documented "congestion noted in (R1's) bronchial area at this time." In addition, several direct care staff reported to the nurse(s) that R1 was experiencing respiratory distress at 9:30 P.M. and at 10:30 P.M.</p> <p>3) Thoroughly investigate the circumstances</p>	W9999			

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W9999	<p>Continued From page 16 surrounding R1's death on 8/13/06.</p> <p>Findings include:</p> <p>Per physician's orders, R1 was a 44 year old male with diagnoses of Profound Mental Retardation, Cerebral Palsy, and Epilepsy.</p> <p>According to nursing notes dated 8/12/06, E5 (LPN) documented R1's vital signs at 1:30 P.M. as follows: Temperature - 96 degrees, Pulse - 76, Respirations - 20, Blood Pressure 120/78. E5 also charted that R1 "had a little congestion upper lung for awhile" and that she had contacted the facility's Administrator (E1) about R1's change in condition.</p> <p>E5 documented E1's vital signs on the nursing shift log for 8/12/06, timed 1:30 P.M., and recorded that R1 had "some congestion upper lungs; Please observe." There is no evidence that R1's oxygen saturation (O2 sat) level was taken.</p> <p>Per interview with E5 on 8/21/06 at 10:40 A.M., E5 said that she personally told E3 (LPN) to check on R1 because he "can get sick so fast" and "we can't leave him without being checked frequently."</p> <p>On the nursing log for the evening shift of 8/12/06, E3 documented that R1 was "lethargic - some congestion in (upper) chest." Per late entry in the nurse's notes for 8/12/06, 9:00 P.M., E3 wrote that R1 was lethargic with "congestion noted in Bronchial area @ this time, temp 95.6 degrees." No other vital signs were recorded, nor is there evidence that R1's O2 sats were checked.</p>	W9999			

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W9999	<p>Continued From page 17</p> <p>Per nurse's notes dated 8/13/06, timed 1:30 A.M., E19 (LPN) charted that R1 was incontinent, with large amount of watery stool, peri care provided, g-tube checked for placement. E19 noted that R1 "Tolerated feeding well. Lung fields congested X 4. Nail beds cyanotic." E19 documented that oxygen was given, 3 liters per nasal cannula and the head of R1's bed was elevated at 45 degrees. E19 noted that R1's vital signs were: Temp, 97.1 degrees, Pulse - unable to obtain, Respirations - 44, Blood pressure 88/40.</p> <p>E19 charted that she contacted the facility's administrator at 1:45 A.M. of R1's change of condition prior to calling 911 at 1:50 A.M. to transport R1 to the hospital. Nursing documentation shows that although R1 was in respiratory failure, E19 continued R1's feeding and failed to assess R1's O2 level prior to initiating oxygen per nasal cannula.</p> <p>According to the ambulance services report, the emergency call was taken at 1:57 A.M., the unit was dispatched at 1:58 A.M. and arrived on the scene at 2:12 A.M. The EMTs documented R1's vital signs as: "BP - 95/55. Pulse - 140, respirations - 28, pulse ox unable to read, lungs rhonchi in all fields" when they assessed R1 in the ambulance at 2:30 A.M.</p> <p>The emergency room staff documented at 3:00 A.M. that R1's respirations were labored, his O2 sats were down, heart rate was elevated, in 150's range, and lung sounds were "very diminished" with multi rales." The ER report states that R1 was intubated while in the emergency room.</p> <p>Per review of the facility's nursing notes, the</p>	W9999			

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W9999	<p>Continued From page 18</p> <p>facility was notified that R1 had been admitted to the intensive care unit and "expired at 10:21 P.M." on 8/13/06.</p> <p>According to the hospital's history and physical report dated 8/13/06, R1 was brought to the hospital "for respiratory distress...Respirations were labored when he was brought to the emergency room. His O2 sats were low and he was tachycardic." The report states that R1 was assessed with "Respiratory failure due to pneumonia, Atrial Fibrillation, Hypokalemia, and Sepsis."</p> <p>Per review of R1's death certificate, R1's cause of death was listed as Sepsis with an underlying cause of Aspiration Pneumonia.</p> <p>During telephone interview with Z1, Emergency Room Physician, on 8/22/06 at 10:00 A.M., Z1 said that when R1 arrived at the ER on 8/13/06, R1 was in severe respiratory distress, probably septic and was very unstable. Z1 said he had to intubate R1 with a breathing tube. Z1 also stated that R1 "should have been out here long before" the facility called 911.</p> <p>According to telephone interview with E21, R1's primary physician, on 8/21/06 at 2:20 P.M., E21 said that R1 was medically unstable and could take a turn for the worse very quickly. E21 stated that R1 has had a history of becoming seriously ill very quickly resulting in hospitalization.</p> <p>Per interview with E19 on 8/22/06 at 10:15 A.M., E19 explained that she works the night shift, starting at 10:00 P.M. and ending at 6:00 A.M. E19 said that when she came on duty on 8/12/06, the evening shift nurse (E3) gave E19 report and</p>	W9999			

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W9999	<p>Continued From page 19</p> <p>informed E19 that R1 had some congestion, but nothing urgent. E19 said that the night shift staff did bed check at 11:00 P.M. and did not report anything unusual. E19 stated that the only time staff came to her was at 10:00 P.M. when E8 (DSP/Direct Support Person) reported that R1's temperature was 95.7 degrees which is about normal for R1.</p> <p>E19 said that she first saw R1 on 8/12/06 at 11:00 P.M. when she was giving medicine to another resident across the hall. E19 stated that she did not go into R1's room but saw that he was resting. E19 confirmed that her first assessment of R1 occurred at 1:00 A.M., when she checked his blood sugar level and gave him his tube feeding.</p> <p>E19 said that when she did the fingerstick to check R1's blood sugar level, she noticed that R1's nail beds were cyanotic. E19 stated that she could hear R1 yelling when she came to his room and noted that his breath sounds were audible.</p> <p>E19 explained that the tube feeding takes approximately 15 minutes and as she was doing the feeding she noticed R1's congestion, took his vitals and gave him oxygen which seemed to calm him down. There is no evidence that R1's O2 sats were taken prior to E19 administering oxygen per nasal cannula.</p> <p>Even though R1 was in obvious respiratory distress the nurse did the fingerstick and the tube feeding prior to further respiratory assessment.</p> <p>According to an interview with E3 on 8/17/06 at 2:00 P.M. E3 stated that R1 did have some congestion during the evening of 8/12/06. E3 said</p>	W9999			

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W9999	<p>Continued From page 20</p> <p>that when she gave R1 his feeding at 7:00 P.M., R1 was "lethargic, acted like he didn't feel good." E3 said R1 did not have any shortness of breath or cough, however. E3 confirmed that she had not taken R1's vital signs at this time. E3 stated that R1 stayed up for awhile after his feeding, and was put back to bed around 8:30 or 9:00 P.M.</p> <p>E3 said that around 9:30 P.M., one of the direct care staff came to her and reported that R1 was having some "rattling" sounds in his upper chest. E3 said she went down to assess R1, took his vitals, but only recorded his temperature which was 95.6 degrees. E3 stated that she did hear some congestion in R1's bronchial area which was not unusual for R1. There is no evidence that R1's O2 saturation levels were checked.</p> <p>Per continuing interview with E3, during shift change at 10:00 P.M. she gave report to E19 and said that both E3 and E19 conducted rounds. E3 said that they went into R1's room and there was no sign of distress or shortness of breath.</p> <p>However, per interview with E19 on 8/22/06, E19 said she did not do a walk-through with E3 and did not go into R1's room until 1:00 A.M. E19 said that they had "gotten lax about doing walk-throughs at shift change but are doing them now."</p> <p>According to an interview with E5 on 8/21/06 at 10:40 A.M., E5 stated that she was the day shift nurse on 8/12/06 and worked from 6:00 A.M. to 2:00 P.M. E5 said that she assessed R1 at 1:30 P.M. and she heard a little congestion when they first got him up. E5 stated that she instructed E3 to check on R1 and documented that R1 was</p>	W9999			

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W9999	<p>Continued From page 21</p> <p>congested on the nursing shift log. E5 said that R1 gets sick really fast and "we can't leave him without being checked."</p> <p>Per interview with E8, DSP, on 8/17/06 at 2:50 P.M., E8 said that she noticed R1 "didn't seem right" after his feeding at 7:00 P.M. and that he had a different type of cry. E8 said R1 felt warm so she took his temperature and it was 95 degrees, and tried to take his blood pressure but could not get a reading. E8 said she told the nurse (E3) that R1 wasn't feeling well and E3 responded that R1 may have a virus. E8 said R1's breathing sounded like "rattling" in his chest.</p> <p>According to an interview with E9, DSP, on 8/17/06 at 3:08 P.M., E9 said that they did a bed check at 10:30 P.M. and noticed that R1 was not feeling well. E9 said R1's voice sounded strained, his breathing was "rattling" and she could feel rattling vibrations in his upper back as they repositioned him. E9 also stated that R1 felt warm, like he had a temperature. E9 said she reported this to both E3 and E19 but neither one responded.</p> <p>Per interview with E10, DSP, on 8/17/06 at 3:00 P.M., E10 stated that she and another DSP (E20) took R1 to the bathroom at 7:00 P.M. and heard "rattling noise in his chest." E10 said both she and E20 reported this to the nurse (E3).</p> <p>(A)</p>	W9999			