

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 07/30/2008
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 14G022	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED C 02/27/2008
NAME OF PROVIDER OR SUPPLIER HELIA HEALTHCARE OF ENERGY-DD			STREET ADDRESS, CITY, STATE, ZIP CODE 210 EAST COLLEGE ENERGY, IL 62933		
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W 474	Continued From page 7 was 155 and his blood pressure was 62/32. R1 was intubated, placed on a ventilator and admitted to the hospital's intensive care unit. Documentation is signed by the Registered Nurse working the Emergency Department on 02-07-08.	W 474			
W9999	<p>Upon review of R1's Nurse's Notes from the facility, documentation states that on 02-09-08, R1 was taken off life support at the local hospital and expired on 02-09-08 at 1:18 p.m..</p> <p>FINAL OBSERVATIONS</p> <p>LICENSURE VIOLATION</p> <p>350.1060h) 350.1840a)b)e) 350.3240a)</p> <p>Section 350.1060 Training and Habilitation Services h) There shall be available sufficient, appropriately qualified training and habilitation personnel, and necessary supporting staff, to carry out the training and habilitation program. Supervision of delivery of training and habilitation services shall be the responsibility of a person who is a Qualified Mental Retardation Professional.</p> <p>Section 350.1840 Diet Orders a) Two or more copies of a current diet manual shall be available and in use. One copy shall be located in the kitchen for use by dietary personnel. Other copies shall be located at each nurses' station for use by physicians when prescribing diets. b) Physicians shall write a diet order, in the</p>	W9999			

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W9999	<p>Continued From page 8</p> <p>medical record, for each resident indicating whether the resident is to have a general or a therapeutic diet. The diet shall be served as ordered.</p> <p>e) A therapeutic diet means a diet ordered by the physician as part of a treatment for a disease or clinical condition, to eliminate or decrease certain substances in the diet (e.g., sodium) or to increase certain substances in the diet (e.g., potassium), or to provide food in a form that the resident is able to eat (e.g., mechanically altered diet).</p> <p>Section 350.3240 Abuse and Neglect</p> <p>a) An owner, licensee, administrator, employee or agent of a facility shall not abuse or neglect a resident.</p> <p>Based on interviews and record review the facility failed to ensure that each client receive a diet consistent with their developmental level by not providing 1 of 1 client in the sample (R1) with a pureed diet, thereby causing R1 to choke, become unresponsive, stop breathing and subsequently expire on 02-09-08.</p> <p>Findings Include:</p> <p>Upon review of R1's Individual Program Plan (IPP) dated 04-18-07, R1 is a 48 year old male who functions at a Profound level of mental retardation. Other diagnoses include: Spastic encephalopathy/Microencephaly/Microcephalic/S coliosis/Bilateral hip dislocation and Multiple contractures. According to the IPP R1's I.Q. was 12.</p> <p>R1's ICAP (Inventory for Client and Agency Planning) dated 04-18-07 states that his overall</p>	W9999			

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W9999	<p>Continued From page 9 age equivalent was 0 years and 4 months.</p> <p>R1's IPP also states that R1 was non-verbal, required staff assistance with all activities of daily living, required a wheelchair and staff assistance for all mobility and required staff to feed him for all nutritional intake. Documentation states, "R1 is on a puree diet because he has no teeth." "...R1 must be monitored and supervised at all times."</p> <p>Upon review of R1's Nutritional Assessment dated 04-10-07, documentation states that R1 was 49 inches tall and weighed 83 pounds. R1's Nutritional Assessment continues to say that R1 was on a puree diet, had to be fed by staff and required pudding thick liquids.</p> <p>Per interview with E9 (Dietary Manager) on 02-19-08 at 4:25 p.m., E9 stated that the dinner menu for 02-07-08 was: Minestrone Soup with crackers, Turkey Sandwich, Tater Tots, Wax beans with red peppers, vanilla pudding, ketchup, milk and beverage of choice. During same interview, E9 stated that bologna salad had been substituted for the turkey sandwich.</p> <p>Upon review of R1's Nurse's Notes dated 02-07-08 and signed by E3 (Licensed Practical Nurse), documentation states, "4:50 p.m., Staff called this nurse to dining room (related to) res (resident) choking. Upon arrival to (dining room) res was gasping for air face was pale in color (with) slight blue/grey tint. Res was repositioned (and) finger sweep completed (no) object found. Res repositioned again (and) finger swept (with) (no) object found. Res taken to room (and) became unresponsive. 911 called (and) CPR (Cardiopulmonary Resuscitation) started by staff. CPR con't (continued) until EMT's arrived (and)</p>	W9999			

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W9999	<p>Continued From page 10</p> <p>took over. EMT's (and) staff con't (continued) CPR (and) res was transferred to ambulance at 5:25 p.m...."</p> <p>Interview with E2 (Direct Support Person) on 02-19-08 at 3:10 p.m., E2 stated that on 02-07-08 at approximately 4:50 p.m., he was feeding R1 his dinner meal in the dining room. E2 said that the meal consisted of pureed bologna salad and some other foods. E2 said that he could not remember what the other foods were.</p> <p>During same interview, E2 stated that he had fed R1 about 10 to 11 bites of food without problems and that on the 12th bite, R1 begin hitting his head, like he does when he doesn't like something. E2 said that R1 then began acting like he couldn't get his breath, his face started getting red and he was still rubbing his head. E2 stated that at this point, he yelled, "Hey I need help with (R1)." E3 (Licensed Practical Nurse), E4 and E5 (Direct Support Person's) came to the dining room.</p> <p>E2 continued to say, "We got him out of his chair (wheelchair) and I put him on my shoulder, we couldn't do the Heimlich the way he's shaped." E2 said that he carried R1 to his bedroom and laid him on his bed. E2 stated that by this time, R1 was unresponsive and turning blue.</p> <p>During the same interview on 02-19-08 at 3:10 p.m., E2 said that when R1 became unresponsive the nurse called 911 and ran to get the crash cart. E2 stated that the nurse did several finger sweeps into R1's mouth but did not retrieve anything. E2 said that the nurse then started CPR and continued CPR until the paramedics and EMT's (Emergency Medical</p>	W9999			

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W9999	<p>Continued From page 11 Technician's) arrived at the facility.</p> <p>E2 continued to say that the EMT's suctioned R1 and retrieved what looked to be a, "Quarter sized chunk - must have been bologna salad - it looked like it." "His mother showed us the chunk (me and E1 (Administrator)) when we went to the hospital to see R1."</p> <p>During same interview, E2 stated that the, "Chunk," "Must have been in pureed food, I didn't see it - would have taken it out."</p> <p>Per interview with E3 (Licensed Practical Nurse) on 02-19-09 at 3:40 p.m., E3 stated that on 02-07-08 at approximately 4:50 p.m., staff yelled that R1 was choking. E3 said that she ran to the dining room and that R1 was "Turning blue when I got there." E3 stated that she thought that it was possible that R1 had aspirated so they set him up higher in his wheelchair and that she patted him on the back.</p> <p>E3 continued to say that she did 2 finger sweeps in R1's mouth but did not get anything out. E3 stated that at that time, E2 carried R1 to his bedroom and laid him on his bed. E3 said that when E2 placed R1 on the bed, E2 told her that R1 was unresponsive. E3 continued to say that she yelled for E6 (Residential Service Director/Qualified Mental Retardation Professional) to call 911. E3 said that she got the crash cart and tried to give R1 some breaths but the air would not go in. E3 stated that she continued trying to force air into R1's lungs and began chest compressions.</p> <p>During the same interview, E3 said that she continued CPR until the EMT's arrived on the</p>	W9999			

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W9999	<p>Continued From page 12</p> <p>scene. E3 also said that when the EMT's arrived, they tried to bag (force air into lungs) R1 and that they were unable to get air in. E3 said that the EMT's continued to try to resuscitate R1 but were unable to get a pulse or breathing. E3 stated that at this time, the EMT's turned R1 so that his head was hanging off the side of the bed so that they could intubate him. E3 said that at this time, the EMT's saw the meat in R1's throat and removed it with the suction machine. E3 said that there were no respirations and no pulse after the meat was removed from R1's throat.</p> <p>During interview with E7 (Registered Nurse) on 02-20-08 at 10:00 a.m., E7 stated that she was assisting E3 with R1's choking incident on 02-07-08. E7 described the food lodged in R1's throat as, "Looked pinkish color, kind of soft spongy gum, soft when they pushed on it." E7 described the size of the object as, "Nickel size." E7 said that the food was, "Smooth, formed on outside, like it had been held in place." E7 also stated that during suctioning, foreign material was also suctioned from R1's throat. E7 described this material as, "Flakes." E7 said that the, flakes were the size of mashed potato flakes, but pinkish in color.</p> <p>When interviewed on 02-20-08 at 8:55 a.m., E8 (Direct Support Person) stated that she was not working on 02-07-08, but that she had come to the facility shortly after 6:00 p.m. E8 said that when she got to the facility, she was informed of R1's choking incident and his being at the hospital. E8 stated that she went to the hospital and that R1's mother was there also. E8 said that she, "saw the food chunk at the hospital." E8 described the food as, "quarter size ball, soft." E8 stated that she could not tell if the food was</p>	W9999			

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W9999	<p>Continued From page 13</p> <p>ground or not. E8 continued to say, "I don't know how you could miss a chunk like that. It's our job to make sure pureed food is pureed, we all know that."</p> <p>Per review of the facility's, "Incident and Accident Statement" dated 02-11-08 and signed by E10 (Environmental Specialist), documentation states that E10 was at the scene of R1's choking incident on 02-07-08. E10 describes the foreign object that was removed from R1's throat as, "a marble sized chunk of food...."</p> <p>During interview with Z1 (Emergency Medical Technician), on 02-20-08 at 1:50 p.m., Z1 stated that the ambulance had been called to the facility to a choking. Z1 stated that when they arrived at the facility, it was now a code (no breathing, no pulse). Z1 stated that either a nurse or an aide was doing CPR. Z1 stated that he had tried to expand the lungs, but that the air would not go in. Z1 said that at that point, he used a suction machine and began suctioning R1's mouth and throat. Z1 stated that he suctioned out some mucus and small food particles (smaller than a pea). Z1 said that he attempted to expand the lungs again and still was unable to get air into the lungs. At this point, Z1 said that he used a laryngoscope and saw, "A large something" in R1's trachea area. Z1 said that he used the suctioning catheter to move the object and that the object stuck to the end of the catheter. Z1 stated that the object fell off the end of the catheter before he could completely remove it, but that he reached into R1's mouth and grabbed the object with his fingers to remove it.</p> <p>During the same interview, Z1 described the object as, "almost perfectly formed round. Like a</p>	W9999			

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W9999	<p>Continued From page 14</p> <p>pink jawbreaker, soft, yet rubbery, thick". Z1 continued to say that the object was, "every bit the size of a quarter". Z1 stated that you, "definitely could have seen it when feeding."</p> <p>Upon review of the EMT's log, dated 02-07-08, regarding R1's choking incident, documentation states that R1 had no pulse, respirations or blood pressure when placed in the ambulance for transportation to the hospital. Documentation continued to show that R1 was resuscitated and began showing a pulse and respirations while enroute to the hospital. Upon arrival to the hospital, R1 had a pulse of 140 and respirations of 24. Documentation is signed by Z1.</p> <p>During review of the local hospital's Emergency Department records, R1 arrived at the local hospital via ambulance at 5:47 p.m. Documentation continued to say that R1's pulse was 155 and his blood pressure was 62/32. R1 was intubated, placed on a ventilator and admitted to the hospital's intensive care unit. Documentation is signed by the Registered Nurse working the Emergency Department on 02-07-08.</p> <p>Upon review of R1's Nurse's Notes from the facility, documentation states that on 02-09-08, R1 was taken off life support at the local hospital and expired on 02-09-08 at 1:18 p.m..</p> <p>(A)</p>	W9999			