

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 01/29/2009
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 14G031	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED C 08/08/2008
NAME OF PROVIDER OR SUPPLIER MOUNT ST JOSEPH			STREET ADDRESS, CITY, STATE, ZIP CODE 24955 NORTH HIGHWAY 12 LAKE ZURICH, IL 60047		
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W 455	Continued From page 27 dry their hands, and staff should use paper towels from the room dispensers. E14 and E15, Direct Care Staff, were present during the observation on Angel Guardian cottage. They were interviewed at 12:15 PM. They stated that sometimes they use the staff washroom or the kitchen sink to wash their hands, due to soap and paper towels not being available in the other locations. They confirmed that the kitchen sink is used for food preparation. E16, Housekeeper for Angel Guardian cottage, was interviewed during the observation, at 12:45 PM. She stated through an interpreter, E14, that there is not enough paper towels to put into all the dispensers. She stated that she had told the House Mother (E17). In the clean utility room were 3 gallons on liquid soap, one was half full. The expiration date on all three gallons was 6/07. This was confirmed during the observation by E4. E16 stated that is the soap she uses to fill the room dispensers.	W 455			
W9999	FINAL OBSERVATIONS LICENSURE VIOLATIONS 350.1060a) 350.1060b)1)2) 350.1060d) 350.1060g) 350.1060h) 350.3240a)	W9999			

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W9999	<p>Continued From page 28</p> <p>Section 350.1060 Training and Habilitation Services</p> <p>a) The facility shall provide training and habilitation services to facilitate the intellectual, sensorimotor, and effective development of each resident in the facility.</p> <p>b) Each resident shall have individual evaluations which shall:</p> <ol style="list-style-type: none"> 1) Be based upon the use of empirically reliable and valid instruments whenever such tools are available. 2) Provide the basis for prescribing an appropriate program of training experiences for the resident. <p>d) There shall be evidence of training and habilitation services activities designed to meet the training and habilitation objectives set for every resident.</p> <p>g) Appropriate training and habilitation programs shall be provided residents with hearing, vision, perceptual, or motor impairments, in cooperation with appropriate staff.</p> <p>h) There shall be available sufficient, appropriately qualified training and habilitation personnel, and necessary supporting staff, to carry out the training and habilitation program. Supervision of delivery of training and habilitation services shall be the responsibility of a person who is a Qualified Mental Retardation Professional.</p> <p>Section 350.3240 Abuse and Neglect</p> <p>a) An owner, licensee, administrator, employee</p>	W9999			

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W9999	<p>Continued From page 29</p> <p>or agent of a facility shall not abuse or neglect a resident.</p> <p>These Regulations were not met as evidenced by:</p> <p>Based on record review and interview, it was determined the facility failed to:</p> <p>1) Ensure that there were adequate staff to provide safe monitoring during the night shift on Marcellina and Guanella cottages, when R8 fell during a fire alarm, resulting in a serious injury and hospitalization.</p> <p>2) Ensure that there is adequate direct care staff to meet the facility's established staffing pattern and safely meet the needs of all residents housed in 5 of 7 cottages, Angel Guardian, St. Mary, Marcellina, Sacred Heart and Guanella.</p> <p>Findings include:</p> <p>Facility job description titled "Night Aid" (no date) requires: "To supervise and assist residents during the sleep hours. Will be responsible for the safety and well-being of all residents during these hours."</p> <p>1) According the the Individual Habilitation Plan (IHP), dated 9/07, R8 is 70 yrs. old and her diagnoses include Profound Mental Retardation, Osteoarthritis of the spine, status post hip replacement, and early onset Dementia. She has an IQ of 24 and functions at a level of 2 yrs. and 7 months. She is on medication for short term memory loss associated with the Dementia. She resides on Guanella Hall and uses a walker due to unsteadiness. The IHP functional assessment</p>	W9999			

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W9999	<p>Continued From page 30</p> <p>identifies that she needs verbal assistance during a fire alarm.</p> <p>An incident report, dated 7/23/08, contained the following documentation. A fire alarm sounded at 5:40 AM and as R8 was exiting her room she fell. This fall was not witnessed by staff, and R8 was found on the floor face down, unable to get up alone, with blood coming from her nose and/or face. R8's walker was next to her, overturned. She was sent to the Emergency Room, and returned to the facility after being cleared of any acute fractures. She had multiple bruises on her face and arm. She was readmitted to the hospital the same afternoon, on 7/23, because of an inability to ambulate. The hospital discharged R8 back to the facility the evening of 7/25. All repeat X-rays were negative and discharge instructions were that R8 could recuperate best at her home. R8 was interviewed by E1 (Administrator). She stated that she was hurrying from her room during the fire alarm because she was scared and that she tripped.</p> <p>The nurses' progress notes contained the following documentation. The physician was notified of R8's return to the facility at 8:00 PM on 7/25, and all previous orders were resumed. At this time R8 was still unable to bear weight and needed total care. R8 was sent back to the hospital a third time, the morning of 7/26, because of increased weakness, a sluggish Rt. pupil, and slight bleeding from the Rt. nostril and ear.</p> <p>E1, Administrator, stated at 2:00 PM on 7/31/08, that R8 is back at the facility with a status post diagnosis of Urinary Tract Infection and Pulmonary Embolism (source unknown). There</p>	W9999			

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W9999	<p>Continued From page 31 was no cranial involvement found.</p> <p>According to the facility staffing schedule, the night shift from 9:00 PM on 7/22, to 6:00 AM on 7/23/08 was staffed as follows: One direct care staff (DCS) (E9) was assigned to the Guanella and Marcellina cottages. This was confirmed by E8, Human Resource Dept (HR) on 7/22/08 at 1:00 PM. She stated that on night shift each cottage should have one DCS. According to the census provided by E1 on 7/1/08, Guanella has 15 residents, Marcellina has 16 residents.</p> <p>E9, DCS, was interviewed on 7/29/08 at 2:15 PM. She confirmed that she was covering both Marcellina and Guanella cottages on the night shift of 7/22 to 7/23/08. She stated the cottages are connected by a walkway with fire doors, but that the hallway of one cottage can be seen when standing in the other, however the bedrooms cannot be seen from that distance. She said that a resident calling from their bedroom could not be heard from one cottage to another.</p> <p>According to E9, the fire alarm sounded approximately 5:40 AM while she was in Marcellina cottage assisting a resident with a wheelchair. She stated that this cottage has 4 residents who use wheelchairs (R9, 10, 11, 12) and 2 who use walkers (R13, 14). This was confirmed with E13 (Director of Nursing - DON) during an interview on 7/29/08, at 12:30 PM. E9 stated she immediately secured the resident she had been with and went down the Marcellina hall calling to, and assisting, the residents out of bed, for an evacuation. After a short time period, she hurried through the fire doors, into the Guanella hallway outside the bedrooms and was met by a resident (R2) standing in the hallway. E9 asked</p>	W9999			

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W9999	<p>Continued From page 32</p> <p>R2 if any other staff was there and the resident told her "No." E9 said she did not go any further, did not see other staff in the hallway, and told the resident she would return. She then went back to check on Marcellina cottage. E9 stated that upon arriving in Marcellina, another staff had arrived and E9 returned to Guanella. As she walked through the fire doors to Guanella, E1 (Administrator, House Mother for Guanella and Marcellina) was leaning over R8, who was face down on the floor, partially in the hallway. E9 stated that R8 uses her walker independently, but should have stand by assistance when first getting up because of her unsteadiness, especially during a fire alarm.</p> <p>E1 was interviewed on 7/29/08 at 10:00 AM and on 7/30/08 at 1:00 PM. She stated that each cottage should have one DCS each. She confirmed that E9 was covering both Guanella and Marcellina cottage on the night shift of 7/22 to 7/23/08, and also confirmed E9's description of the distance between Guanella and Marcellina. E1 stated her bedroom is in Guanella cottage and that on 7/23/08 she was awakened by the fire alarm and found R8 face down on the floor.</p> <p>2) According to the census provided by E1 on 7/1/08, Guanella has 15 residents, Marcellina has 16, St. Mary's has 16, Sacred Heart has 16 and Angel Guardian has 21. E1 confirmed on 7/31 at 2:00 PM, that the connecting walkway between St. Mary and Sacred Heart curves, and one cottage cannot be seen when in the other. She stated that on the night shift, the staffing pattern is one DCS for each cottage and two DCS on Angel Guardian because of higher health care needs. She said that because of problems such as staff injuries, illnesses and vacations the</p>	W9999			

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W9999	<p>Continued From page 33 facility has been short staffed.</p> <p>On 7/22/08 at 1:00 PM, E8 (HR) was interviewed and said that she is responsible for staff scheduling. She confirmed the established staffing pattern stated by E1. She stated that two pairs of the cottages are connected by a hallway; Guanella and Marcellina, and St. Mary and Sacred Heart. She said that when short of staff on night shift, one DCS has been assigned to cover a pair of the connected cottages. E8 confirmed that DCS have told her they have safety concerns when short staffed, and that she tries to provide adequate staffing, but is not always successful. She stated this has been an ongoing problem, but that administration is aware and is trying to hire more staff.</p> <p>The facility's night shift staffing schedule, for 7/1 to 7/27/08, was reviewed with E8. Angel Guardian cottage, which should have 2 DCS on nights, had only one DCS on 21 of 27 nights in July. St. Mary and Sacred Heart cottages were covered by one DCS on 10 of 27 nights. Guanella and Marcellina cottages were covered by one DCS on 13 of 27 nights. E8 stated that if the staff has problems, they can wake up the House Mothers.</p> <p>E13 (DON) confirmed on 7/29/08 at 12:30 PM, that Angel Guardian cottage should have 2 DCS at night because of increased health care needs. She stated that there is one night nurse on duty for the seven cottages, and that the nurse assists the DCS as much as possible, but is also busy with her own duties. She stated of the 21 residents on Angel Guardian, 10 are completely dependent on staff for care, such as changing and turning every two hours. She stated that</p>	W9999			

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W9999	Continued From page 34 DCS have voiced concerns about the safety of residents when they are short staffed. She confirmed that from 2/08 to 3/08, four cases of MRSA were diagnosed at the facility and that three were from Angel Guardian cottage. She also confirmed that there were approximately 28 cases of Pneumonia throughout the facility from the end of 6/08 through 7/08. She said DCS are to use universal precautions when caring for residents. She stated administration is aware of the concerns regarding short staffing. (A)	W9999			