

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 10/27/2016
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 14G167	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED 10/20/2016
NAME OF PROVIDER OR SUPPLIER GAINES MILL PLACE			STREET ADDRESS, CITY, STATE, ZIP CODE 3310 GAINES MILL ROAD SPRINGFIELD, IL 62704		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE	
W 000	INITIAL COMMENTS	W 000			
	ANNUAL CERTIFICATION SURVEY - FUNDAMENTAL				
	INSPECTION OF CARE				
W 262	LICENSURE SURVEY 483.440(f)(3)(i) PROGRAM MONITORING & CHANGE The committee should review, approve, and monitor individual programs designed to manage inappropriate behavior and other programs that, in the opinion of the committee, involve risks to client protection and rights. This STANDARD is not met as evidenced by: Based on record review and interview the facility failed to ensure written consent from the specially constituted committee was obtained for medications used to control behaviors for 2 of 2 individuals in the sample who take psychotropic medications (R1, R4). Findings include: 1) R1's Physician Order Sheet (POS) for October 2016 shows he is a 52 year old male who has diagnoses which include Mild Intellectual Disability, Atypical Psychosis, Asperger's Syndrome and Probable Bipolar Disorder. R1's POS also shows his physician has ordered Lithium Carb 600 milligrams every morning and Lithium Carb 900 milligrams at bedtime. A Consultation Report has this medication dosage written and signed on 3/31/16. This medication	W 262			

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W 262	Continued From page 1 was increased from a previously prescribed dosage of 600 milligrams in the morning and 600 milligrams in the evening. During an interview with E1, Qualified Intellectual Disability Professional (QIDP) on 10/19/16 at 11:57am, E1 confirmed R1's Lithium Carb dosage as written on the October 2016 POS. E1 was asked if he could provide a consent signed by the specially constituted committee to approve this medication increase. E1 stated no. 2) R4's Physician Order Sheet (POS) for October 2016 shows he is a 64 year old male who has diagnoses which include Profound Intellectual Disability, Blindness, and Agitation with Self Injurious Behavior. An October 2016 POS for R4 shows he is prescribed 1.5 milligrams of Risperidone for Bipolar Disorder. During an interview with E1 on 10/19/16 at 2:35pm, E1 confirmed R4 currently takes 1.5 milligrams of Risperidone each day at bedtime. E1 was asked if he could provide a consent signed by the specially constituted committee for 1.5 milligrams of Risperidone to be given daily at bedtime. E1 stated no.	W 262			
W 263	483.440(f)(3)(ii) PROGRAM MONITORING & CHANGE The committee should insure that these programs are conducted only with the written informed consent of the client, parents (if the client is a minor) or legal guardian.	W 263			

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W 263	<p>Continued From page 2</p> <p>This STANDARD is not met as evidenced by: Based on record review and interview the facility failed to ensure guardian consent was obtained for medications used to control behaviors for 2 of 2 individuals in the sample who take psychotropic medications (R1, R4).</p> <p>Findings include:</p> <p>1) R1's Physician Order Sheet (POS) for October 2016 shows he is a 52 year old male who has diagnoses which include Mild Intellectual Disability, Atypical Psychosis, Asperger's Syndrome and Probable Bipolar Disorder.</p> <p>R1's POS also shows his physician has ordered Lithium Carb 600 milligrams every morning and Lithium Carb 900 milligrams at bedtime. A Consultation Report has this medication dosage written and signed on 3/31/16. This medication was increased from a previously prescribed dosage of 600 milligrams in the morning and 600 milligrams in the evening.</p> <p>During an interview with E1, Qualified Intellectual Disability Professional (QIDP) on 10/19/16 at 11:57am, E1 confirmed R1's Lithium Carb dosage as written on the October 2016 POS.</p> <p>E1 was asked if he could provide a consent signed by R1, who is his own guardian, to approve this medication increase. E1 stated no.</p> <p>2) R4's Physician Order Sheet (POS) for October 2016 shows he is a 64 year old male who has diagnoses which include Profound Intellectual Disability, Blindness, and Agitation with Self</p>	W 263			

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W 263	Continued From page 3 Injurious Behavior. An October 2016 POS for R4 shows he is prescribed 1.5 milligrams of Risperidone for Bipolar Disorder. During an interview with E1 on 10/19/16 at 2:35pm, E1 confirmed R4 currently takes 1.5 milligrams of Risperidone each day at bedtime. E1 was asked if he could provide a signed guardian consent for 1.5 milligrams of Risperidone to be given daily at bedtime. E1 stated no.	W 263			
W 440	483.470(i)(1) EVACUATION DRILLS The facility must hold evacuation drills at least quarterly for each shift of personnel. This STANDARD is not met as evidenced by: Based on record review and interview the facility failed to ensure evacuation drills were held quarterly for each shift of personnel which affects 15 of 15 individuals who reside in the facility (R1-R15). Findings include: An undated facility roster provided at the beginning of the survey on 10/18/16 shows R1, R6, R11, R12, R13, R14 and R15 function at the level of Mild Intellectual Disability; R2, R5, R7 & R8 function at the level of Moderate Intellectual Disability; R3 functions at the level of Severe Intellectual Disability and R4, R9 and R10 function at the level of Profound Intellectual Disability.	W 440			

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W 440	Continued From page 4 Review of facility fire, disaster and evacuation drills for the past year did not include any type of evacuation drill for first shift during the first quarter or for third shift during the fourth quarter. E2, Administrator was interviewed on 10/19/16 at 10:30am and E1, Qualified Intellectual Disability Professional confirmed on 10/19/16 at 12pm evacuation drills for the time periods requested could not be produced.	W 440			