

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 12/07/2015
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 14G293	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED R 11/06/2015
NAME OF PROVIDER OR SUPPLIER BRIARBROOK PLACE			STREET ADDRESS, CITY, STATE, ZIP CODE 228 BRIARBROOK DRIVE EAST PEORIA, IL 61611		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETION DATE
{W 000}	INITIAL COMMENTS	{W 000}			
{W 249}	<p>M/FU - THIRD CERTIFICATION FOLLOW UP TO SURVEY DATE OF 03/19/15</p> <p>483.440(d)(1) PROGRAM IMPLEMENTATION</p> <p>As soon as the interdisciplinary team has formulated a client's individual program plan, each client must receive a continuous active treatment program consisting of needed interventions and services in sufficient number and frequency to support the achievement of the objectives identified in the individual program plan.</p> <p>This STANDARD is not met as evidenced by: REPEAT</p> <p>Based on record review and interview the facility failed to ensure three of four residents in the sample (R1, R2, R4) received active treatment in a frequency which would support objectives to achieve their goals.</p> <p>Findings include:</p> <p>1) A Roster provided by E2, Residential Service Director, at the beginning of the survey shows R2 is a 48 year old female who functions at the level of Moderate Intellectual Disability.</p> <p>Chart review of R2's active treatment program shows the following:</p> <p>Hygiene: (R2) will rinse the shampoo out of her hair with 1 or less verbal prompts in 100% of trials. The Active Treatment records documents</p>	{W 249}			12/5/15

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

11/13/2015

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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{W 249}	<p>Continued From page 1</p> <p>this program was run 10 out of 30 days in September and 3 out of 31 days in October.</p> <p>Personal ID - Address: (R2) will state (her address) with one or less verbal prompts in 100% of trials. This program should be run on Monday and Friday. The Active Treatment records documents this program was run 4 times in September (of 8 opportunities). E1, Vice President of Operations stated during interview on 11/4/15 at 12:21pm a record of R2's October Personal ID could not be located.</p> <p>Coin ID - (R2) will identify the nickel and its value with 4 or less verbal prompts in 25% of trials. This program is to be run on Monday and Thursdays. Active Treatment records document this program was run 3 times (of 8 opportunities) in September and 2 times out of 9 opportunities in October.</p> <p>Oral Hygiene - (R2) will brush her teeth independently for 15 seconds with 3 or fewer verbal prompts in 25% of trials which are to be run daily. Active Treatment records document this program was run 15 of 30 times in September and 11 of 31 times in October.</p> <p>Reading: (R2) will correctly identify 3/5 letters of the alphabet with 5 or less prompts in 25% of trials to be run Tuesday and Thursday. Active Treatment records document this program was run 2 of 10 times in September and 3 of 9 times in October.</p> <p>Self Medication: (R2) will pop her meds out of cards with hand over hand assistance using 3 or less verbal prompts in 25% of trials to be run daily at 8pm. Active treatment records document this program was run 6 of 31 opportunities.</p>	{W 249}			

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{W 249}	<p>Continued From page 2</p> <p>2) A Roster provided by E2, Residential Service Director, at the beginning of the survey shows R1 is a 28 year old female who functions at the level of Mild Intellectual Disability.</p> <p>Chart review of R1's active treatment program shows the following:</p> <p>Oral: (R1) will complete her oral hygiene with 1 or less verbal prompts for 75% of trials which are to be run daily. Active Treatment records document this program was run 9 of 31 times in October.</p> <p>Cooking: (R1) will assist in preparing one side dish for dinner with 3 prompts or less in 25% of trials to be run on the weekends. Active Treatment records show this program was run 4 of 9 opportunities in October.</p> <p>Reading: (R1) will read a list of words and then use them in the correct sentence 25% of the time to be run on Monday and Wednesday. Active Treatment records have documented this program was not run in October.</p> <p>Money: (R1) will sign on the signature line and write the dollar amount in numbers with 1 or less verbal prompts in 75% of trials to be run on Tuesday and Thursday. Active Treatment records show this program was documented as being run 4 times in September and zero times in October.</p> <p>Socialization: (R1) will participate in 1 group activity of her choosing 2 out of 7 days with 2 or less verbal prompts in 75% of trials to be run daily. Active Treatment records show this program was documented as being run 12 of 31 times in October.</p>	{W 249}			

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{W 249}	<p>Continued From page 3</p> <p>3) A Roster provided by E2, Residential Service Director, at the beginning of the survey shows R4 is a 39 year old female who functions at the level of Profound Intellectual Disability.</p> <p>Chart review of R4's active treatment program shows the following:</p> <p>Money: (R4) will point to the penny with 4 or less signed/verbal prompts to be run on Monday and Thursday. Active Treatment records have documented this program was run two times between September 16 and October 31.</p> <p>Communication: (R4) will speak the word "drink" and then sign the word drink to be run on Tuesday and Thursday. Active Treatment records have documented this program was run 5 times in September and 4 times in October.</p> <p>Exercise: (R4) will walk up and down the length of the hallway 2 complete times to be run on Monday and Friday. Active treatment records have documented this program was run 3 times between September 20 and October 31.</p> <p>Eating: (R4) will not put more than a mouth full of food in her mouth with 1 or less prompt to be run daily. Active Treatment records have documented this program was run 7 of 31 times in October.</p> <p>Bathing: (R4) will dry her arms, face and chest to be run daily. Active Treatment records document this program was run 6 of 31 times in October.</p> <p>Oral Hygiene: (R4) will place toothpaste on her toothbrush to be run daily. Active Treatment records document this program was run 6 of 31</p>	{W 249}			

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{W 249}	Continued From page 4 times in October.			{W 249}			
{W 252}	<p>During interview with E1 on 11/4/15, the Active Treatment records were reviewed for R1, R2 and R4. E1 concurred it is unable to be determined if these programs were implemented with the documentation provided.</p> <p>483.440(e)(1) PROGRAM DOCUMENTATION</p> <p>Data relative to accomplishment of the criteria specified in client individual program plan objectives must be documented in measurable terms.</p> <p>This STANDARD is not met as evidenced by: REPEAT</p> <p>Based on record review and interview the facility failed to ensure active treatment was documented for three of four residents in the sample (R1, R2, R4).</p> <p>Findings include:</p> <p>1) A Roster provided by E2, Residential Service Director, at the beginning of the survey shows R2 is a 48 year old female who functions at the level of Moderate Intellectual Disability.</p> <p>Chart review of R2's active treatment program shows the following:</p> <p>Hygiene: (R2) will rinse the shampoo out of her hair with 1 or less verbal prompts in 100% of trials. The Active Treatment records documents this program was run 10 out of 30 days in</p>			{W 252}			12/5/15

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{W 252}	<p>Continued From page 5 September and 3 out of 31 days in October.</p> <p>Personal ID - Address: (R2) will state (her address) with one or less verbal prompts in 100% of trials. This program should be run on Monday and Friday. The Active Treatment records documents this program was run 4 times in September (of 8 opportunities). E1, Vice President of Operations stated during interview on 11/4/15 at 12:21pm a record of R2's October Personal ID could not be located.</p> <p>Coin ID - (R2) will identify the nickel and its value with 4 or less verbal prompts in 25% of trials. This program is to be run on Monday and Thursdays. Active Treatment records document this program was run 3 times (of 8 opportunities) in September and 2 times out of 9 opportunities in October.</p> <p>Oral Hygiene - (R2) will brush her teeth independently for 15 seconds with 3 or fewer verbal prompts in 25% of trials which are to be run daily. Active Treatment records document this program was run 15 of 30 times in September and 11 of 31 times in October.</p> <p>Reading: (R2) will correctly identify 3/5 letters of the alphabet with 5 or less prompts in 25% of trials to be run Tuesday and Thursday. Active Treatment records document this program was run 2 of 10 times in September and 3 of 9 times in October.</p> <p>Self Medication: (R2) will pop her meds out of cards with hand over hand assistance using 3 or less verbal prompts in 25% of trials to be run daily at 8pm. Active treatment records document this program was run 6 of 31 opportunities.</p>	{W 252}			

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{W 252}	<p>Continued From page 6</p> <p>2) A Roster provided by E2, Residential Service Director, at the beginning of the survey shows R1 is a 28 year old female who functions at the level of Mild Intellectual Disability.</p> <p>Chart review of R1's active treatment program shows the following:</p> <p>Oral: (R1) will complete her oral hygiene with 1 or less verbal prompts for 75% of trials which are to be run daily. Active Treatment records document this program was run 9 of 31 times in October.</p> <p>Cooking: (R1) will assist in preparing one side dish for dinner with 3 prompts or less in 25% of trials to be run on the weekends. Active Treatment records show this program was run 4 of 9 opportunities in October.</p> <p>Reading: (R1) will read a list of words and then use them in the correct sentence 25% of the time to be run on Monday and Wednesday. Active Treatment records have documented this program was not run in October.</p> <p>Money: (R1) will sign on the signature line and write the dollar amount in numbers with 1 or less verbal prompts in 75% of trials to be run on Tuesday and Thursday. Active Treatment records show this program was documented as being run 4 times in September and zero times in October.</p> <p>Socialization: (R1) will participate in 1 group activity of her choosing 2 out of 7 days with 2 or less verbal prompts in 75% of trials to be run daily. Active Treatment records show this program was documented as being run 12 of 31 times in October.</p>	{W 252}			

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{W 252}	<p>Continued From page 7</p> <p>3) A Roster provided by E2, Residential Service Director, at the beginning of the survey shows R4 is a 39 year old female who functions at the level of Profound Intellectual Disability.</p> <p>Chart review of R4's active treatment program shows the following:</p> <p>Money: (R4) will point to the penny with 4 or less signed/verbal prompts to be run on Monday and Thursday. Active Treatment records have documented this program was run two times between September 16 and October 31.</p> <p>Communication: (R4) will speak the word "drink" and then sign the word drink to be run on Tuesday and Thursday. Active Treatment records have documented this program was run 5 times in September and 4 times in October.</p> <p>Exercise: (R4) will walk up and down the length of the hallway 2 complete times to be run on Monday and Friday. Active treatment records have documented this program was run 3 times between September 20 and October 31.</p> <p>Eating: (R4) will not put more than a mouth full of food in her mouth with 1 or less prompt to be run daily. Active Treatment records have documented this program was run 7 of 31 times in October.</p> <p>Bathing: (R4) will dry her arms, face and chest to be run daily. Active Treatment records document this program was run 6 of 31 times in October.</p> <p>Oral Hygiene: (R4) will place toothpaste on her toothbrush to be run daily. Active Treatment records document this program was run 6 of 31 times in October.</p>	{W 252}			

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{W 252}	Continued From page 8 During interview with E1 on 11/4/15, the Active Treatment records were reviewed for R1, R2 and R4. E1 verified the lack of documentation for these individuals' Active Treatment records during the months of September and October.	{W 252}			