

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 02/27/2014
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 14G334	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED 02/11/2014
NAME OF PROVIDER OR SUPPLIER CANTERBURY PLACE			STREET ADDRESS, CITY, STATE, ZIP CODE 2503 CANTERBURY LANE ROCKFORD, IL 61101		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE	
W 000	INITIAL COMMENTS	W 000			
W 104	<p>Annual Certification - Fundamental Inspection of Care</p> <p>483.410(a)(1) GOVERNING BODY</p> <p>The governing body must exercise general policy, budget, and operating direction over the facility.</p> <p>This STANDARD is not met as evidenced by: Based on observations and interview the governing body failed to exercise operating direction over the facility when cleaning chemicals were left on the floor of the homes hallway for 2 of 2 sample clients, (R1 & R2), and 2 clients outside the sample, (R3 & R4).</p> <p>Findings include:</p> <p>According to an undated client roster supplied by the facility, R3 functions in the mild range, R1 functions in the Moderate range, R2 functions in the Severe range and R4 functions in the Profound range.</p> <p>During morning observations on 2-11-14 at 7:10am R4 was in the bathroom standing to the side of the toilet with his pants down to his ankles and the door was open. On the floor of the hallway in front of the bathroom door was a bucket filled with various cans and bottles of cleaning supplies.</p> <p>At 7:18am this surveyor asked RSD E3 about the cleaning products in the bucket. One had an</p>	W 104			
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE			TITLE	(X6) DATE	

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W 104	Continued From page 1 environmentally friendly label. E3 picked up another bottle and said that it was a vinegar-based product. Then surveyor picked up a bottle that had "bowl cleaner" on the front of it. On the back were the words, "Phosphoric Acid - Caution - Keep Out of Reach of Children." E3 said that he was sorry and that he would lock them up, which he then did.	W 104			
W 120	483.410(d)(3) SERVICES PROVIDED WITH OUTSIDE SOURCES The facility must assure that outside services meet the needs of each client. This STANDARD is not met as evidenced by: Based on observation, record review, and interview the facility failed to ensure for one of one in the sample R2 that uses a protective cloth to dine with that the facility assured that outside services meet the needs of each client. Findings include: Per record review of the Individual Service Plan dated 5-22-13, R2 is a 39 year old male who functions in the Severe Range. R2's diagnoses includes Cerebral Palsy and Excessive Drooling. During observations on 2-10-14 at the day training at 11:25 A.M. R2 was observed eating his lunch with his plate on top of his protective cloth. During observations on 2-10-14 at 5:30 P.M. R2 was observed dining with his protective cloth going down to his lap and not under his plate.	W 120			

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W 120	Continued From page 2 Per record review of the Individual Service Plan dated 5-22-13 is written R2 is able to feed himself using a scoop plate, weighted utensils, deep lipped plate, two handed cup, and a clothing protector. R2 is able to eat with little staff assistance and the aid of adaptive devices. Per interview with Z1 (Day Trainer) on 2-10-14 at 11:50 A.M. when asked if R2 always eats with his plate on top of the protective cloth, Z1 replied "yes that way it does not get on his lap and they are not big enough for him".	W 120			
W 263	483.440(f)(3)(ii) PROGRAM MONITORING & CHANGE The committee should insure that these programs are conducted only with the written informed consent of the client, parents (if the client is a minor) or legal guardian. This STANDARD is not met as evidenced by: Based on record review and interview the facility failed to ensure that no blanket-type consent is used and consent is for the program that incorporates the use of restrictive technique and that these programs are conducted only with the written informed consent of the client, parents or legal guardians for 2 of 2 sample clients, R1 & R2. Findings include: According to an undated client roster supplied by the facility, R1 functions in the Moderate range and his diagnosis includes Cerebral Palsy. R2 functions in the Severe range and his diagnosis includes Cerebral Palsy.	W 263			

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W 263	Continued From page 3 During a review of Consents for R1 & R2, both have consents in place for their checkbooks. Both consents have a title, "Consent for Restrictive Measures*". At the bottom of the consent form it states, "*Restrictive measures include the following: use of a lap buddy, wrist straps, locked cabinets or other areas, the use of bed rails, etc. This is only a sample of possible restrictive measures, not an all inclusive list." During an interview on 2-11-14 at 11:30am Facility Representative E2 and at 1:15pm Administrator E1 both discussed these consent forms. They both said that these are corporate forms and pointed out that they addressed specific restrictive techniques, in the above example, checkbooks. When this surveyor pointed out that the way the list of restrictive measures listed above were written made them a blanket-type consent they both said that they understood even if they disagreed.	W 263			