

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Skilled Nursing and Intermediate Care Facilities Code
- 2) Code Citation: 77 Ill. Adm. Code 300
- 3)

<u>Section Numbers:</u>	<u>Proposed Action:</u>
300.6000	Repeal
300.6005	Repeal
300.6010	Repeal
300.6020	Repeal
300.6030	Repeal
300.6040	Repeal
300.6045	Repeal
300.6047	Repeal
300.6049	Repeal
300.6050	Repeal
300.6060	Repeal
300/6070	Repeal
300.6080	Repeal
300.6090	Repeal
300/6095	Repeal
- 4) Statutory Authority: Nursing Home Care Act [210 ILCS 45]
- 5) A Complete Description of the Subjects and Issues Involved: Subpart T of the Skilled Nursing and Intermediate Care Facilities Code (77 Ill. Adm. Code 300) established the minimum certification requirements for facilities that participated in the Illinois Department of Healthcare and Family Services' demonstration program for providing services to persons with serious mental illness. The passage of the Specialized Mental Health Rehabilitation Act of 2013 [210 ILCS 49] in May, 2013, effectively ended the demonstration program. Facilities that were previously licensed under Part 300 and certified under Subpart T of Part 300 will be licensed under the new Act and the Specialized Mental Health Rehabilitation Facilities Code (77 Ill. Adm. Code 380).

Emergency rules for Part 380 were filed on May 22, 2014. Because Subpart T is no longer needed, it is being repealed.

The economic effect of this proposed rulemaking is unknown. Therefore, the Department requests any information that would assist in calculating this effect.

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

The Department anticipates adoption of this rulemaking approximately six to nine months after publication of the Notice in the *Illinois Register*.

- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objectives: This rulemaking does not create a state mandate.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:

Interested persons may present their comments concerning this rulemaking within 45 days after this issue of the *Illinois Register* to:

Susan Meister
Division of Legal Services
Illinois Department of Public Health
535 W. Jefferson St., 5th floor
Springfield, Illinois 62761

217/782-2043
dph.rules@illinois.gov

- 13) Initial Regulatory Flexibility Analysis:
 - A) Types of small businesses, small municipalities and not for profit corporations affected: Skilled nursing facilities
 - B) Reporting, bookkeeping or other procedures required for compliance: Facilities will need to apply for licensure under Part 380, and meet that Part's licensure requirements.

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- C) Types of professional skills necessary for compliance: Nursing, medical.
- 14) Regulatory Agenda on which this rulemaking was summarized: January 2014

The full text of the Proposed Amendments begins on the next page:

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

TITLE 77: PUBLIC HEALTH
CHAPTER I: DEPARTMENT OF PUBLIC HEALTH
SUBCHAPTER c: LONG-TERM CARE FACILITIES

PART 300
SKILLED NURSING AND INTERMEDIATE CARE FACILITIES CODE

SUBPART A: GENERAL PROVISIONS

Section	
300.110	General Requirements
300.120	Application for License
300.130	Licensee
300.140	Issuance of an Initial License for a New Facility
300.150	Issuance of an Initial License Due to a Change of Ownership
300.160	Issuance of a Renewal License
300.163	Alzheimer's Special Care Disclosure
300.165	Criteria for Adverse Licensure Actions
300.170	Denial of Initial License
300.175	Denial of Renewal of License
300.180	Revocation of License
300.190	Experimental Program Conflicting With Requirements
300.200	Inspections, Surveys, Evaluations and Consultation
300.210	Filing an Annual Attested Financial Statement
300.220	Information to Be Made Available to the Public By the Department
300.230	Information to Be Made Available to the Public By the Licensee
300.240	Municipal Licensing
300.250	Ownership Disclosure
300.260	Issuance of Conditional Licenses
300.270	Monitor and Receivership
300.271	Presentation of Findings
300.272	Determination to Issue a Notice of Violation or Administrative Warning
300.274	Determination of the Level of a Violation
300.276	Notice of Violation
300.277	Administrative Warning
300.278	Plans of Correction
300.280	Reports of Correction
300.282	Conditions for Assessment of Penalties
300.284	Calculation of Penalties (Repealed)

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 300.286 Notice of Penalty Assessment; Response by Facility
- 300.287 Consideration of Factors for Assessing Penalties
- 300.288 Reduction or Waiver of Penalties
- 300.290 Quarterly List of Violators (Repealed)
- 300.300 Alcoholism Treatment Programs In Long-Term Care Facilities
- 300.310 Department May Survey Facilities Formerly Licensed
- 300.315 Supported Congregate Living Arrangement Demonstration
- 300.320 Waivers
- 300.330 Definitions
- 300.340 Incorporated and Referenced Materials

SUBPART B: ADMINISTRATION

- Section
- 300.510 Administrator

SUBPART C: POLICIES

- Section
- 300.610 Resident Care Policies
- 300.615 Determination of Need Screening and Request for Resident Criminal History Record Information
- 300.620 Admission, Retention and Discharge Policies
- 300.624 Criminal History Background Checks for Persons Who Were Residents on May 10, 2006 (Repealed)
- 300.625 Identified Offenders
- 300.626 Discharge Planning for Identified Offenders
- 300.627 Transfer of an Identified Offender
- 300.630 Contract Between Resident and Facility
- 300.640 Residents' Advisory Council
- 300.650 Personnel Policies
- 300.651 Whistleblower Protection
- 300.655 Initial Health Evaluation for Employees
- 300.660 Nursing Assistants
- 300.661 Health Care Worker Background Check
- 300.662 Resident Attendants
- 300.663 Registry of Certified Nursing Assistants
- 300.665 Student Interns

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

300.670	Disaster Preparedness
300.680	Restraints
300.682	Nonemergency Use of Physical Restraints
300.684	Emergency Use of Physical Restraints
300.686	Unnecessary, Psychotropic, and Antipsychotic Drugs
300.690	Incidents and Accidents
300.695	Contacting Local Law Enforcement
300.696	Infection Control

SUBPART D: PERSONNEL

Section	
300.810	General
300.820	Categories of Personnel
300.830	Consultation Services
300.840	Personnel Policies

SUBPART E: MEDICAL AND DENTAL CARE OF RESIDENTS

Section	
300.1010	Medical Care Policies
300.1020	Communicable Disease Policies
300.1025	Tuberculin Skin Test Procedures
300.1030	Medical Emergencies
300.1035	Life-Sustaining Treatments
300.1040	Care and Treatment of Sexual Assault Survivors
300.1050	Dental Standards
300.1060	Vaccinations

SUBPART F: NURSING AND PERSONAL CARE

Section	
300.1210	General Requirements for Nursing and Personal Care
300.1220	Supervision of Nursing Services
300.1230	Direct Care Staffing
300.1240	Additional Requirements

SUBPART G: RESIDENT CARE SERVICES

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

Section

- 300.1410 Activity Program
- 300.1420 Specialized Rehabilitation Services
- 300.1430 Work Programs
- 300.1440 Volunteer Program
- 300.1450 Language Assistance Services

SUBPART H: MEDICATIONS

Section

- 300.1610 Medication Policies and Procedures
- 300.1620 Compliance with Licensed Prescriber's Orders
- 300.1630 Administration of Medication
- 300.1640 Labeling and Storage of Medications
- 300.1650 Control of Medications

SUBPART I: RESIDENT AND FACILITY RECORDS

Section

- 300.1810 Resident Record Requirements
- 300.1820 Content of Medical Records
- 300.1830 Records Pertaining to Residents' Property
- 300.1840 Retention and Transfer of Resident Records
- 300.1850 Other Resident Record Requirements
- 300.1860 Staff Responsibility for Medical Records
- 300.1870 Retention of Facility Records
- 300.1880 Other Facility Record Requirements

SUBPART J: FOOD SERVICE

Section

- 300.2010 Director of Food Services
- 300.2020 Dietary Staff in Addition to Director of Food Services
- 300.2030 Hygiene of Dietary Staff
- 300.2040 Diet Orders
- 300.2050 Meal Planning
- 300.2060 Therapeutic Diets (Repealed)

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 300.2070 Scheduling Meals
- 300.2080 Menus and Food Records
- 300.2090 Food Preparation and Service
- 300.2100 Food Handling Sanitation
- 300.2110 Kitchen Equipment, Utensils, and Supplies

SUBPART K: MAINTENANCE, HOUSEKEEPING, AND LAUNDRY

Section

- 300.2210 Maintenance
- 300.2220 Housekeeping
- 300.2230 Laundry Services

SUBPART L: FURNISHINGS, EQUIPMENT, AND SUPPLIES

Section

- 300.2410 Furnishings
- 300.2420 Equipment and Supplies
- 300.2430 Sterilization of Equipment and Supplies

SUBPART M: WATER SUPPLY AND SEWAGE DISPOSAL

Section

- 300.2610 Codes
- 300.2620 Water Supply
- 300.2630 Sewage Disposal
- 300.2640 Plumbing

SUBPART N: DESIGN AND CONSTRUCTION STANDARDS
FOR NEW INTERMEDIATE CARE AND SKILLED NURSING FACILITIES

Section

- 300.2810 Applicability of these Standards
- 300.2820 Codes and Standards
- 300.2830 Preparation of Drawings and Specifications
- 300.2840 Site
- 300.2850 Administration and Public Areas
- 300.2860 Nursing Unit

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

300.2870	Dining, Living, Activities Rooms
300.2880	Therapy and Personal Care
300.2890	Service Departments
300.2900	General Building Requirements
300.2910	Structural
300.2920	Mechanical Systems
300.2930	Plumbing Systems
300.2940	Electrical Systems

SUBPART O: DESIGN AND CONSTRUCTION STANDARDS
FOR EXISTING INTERMEDIATE CARE AND SKILLED NURSING FACILITIES

Section	
300.3010	Applicability
300.3020	Codes and Standards
300.3030	Preparation of Drawings and Specifications
300.3040	Site
300.3050	Administration and Public Areas
300.3060	Nursing Unit
300.3070	Living, Dining, Activities Rooms
300.3080	Treatment and Personal Care
300.3090	Service Departments
300.3100	General Building Requirements
300.3110	Structural
300.3120	Mechanical Systems
300.3130	Plumbing Systems
300.3140	Electrical Requirements

SUBPART P: RESIDENT'S RIGHTS

Section	
300.3210	General
300.3220	Medical Care
300.3230	Restraints (Repealed)
300.3240	Abuse and Neglect
300.3250	Communication and Visitation
300.3260	Resident's Funds
300.3270	Residents' Advisory Council

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 300.3280 Contract With Facility
- 300.3290 Private Right of Action
- 300.3300 Transfer or Discharge
- 300.3310 Complaint Procedures
- 300.3320 Confidentiality
- 300.3330 Facility Implementation

SUBPART Q: SPECIALIZED LIVING FACILITIES FOR THE MENTALLY ILL

- Section
- 300.3410 Application of Other Sections of These Minimum Standards (Repealed)
- 300.3420 Administrator (Repealed)
- 300.3430 Policies (Repealed)
- 300.3440 Personnel (Repealed)
- 300.3450 Resident Living Services Medical and Dental Care (Repealed)
- 300.3460 Resident Services Program (Repealed)
- 300.3470 Psychological Services (Repealed)
- 300.3480 Social Services (Repealed)
- 300.3490 Recreational and Activities Services (Repealed)
- 300.3500 Individual Treatment Plan (Repealed)
- 300.3510 Health Services (Repealed)
- 300.3520 Medical Services (Repealed)
- 300.3530 Dental Services (Repealed)
- 300.3540 Optometric Services (Repealed)
- 300.3550 Audiometric Services (Repealed)
- 300.3560 Podiatric Services (Repealed)
- 300.3570 Occupational Therapy Services (Repealed)
- 300.3580 Nursing and Personal Care (Repealed)
- 300.3590 Resident Care Services (Repealed)
- 300.3600 Record Keeping (Repealed)
- 300.3610 Food Service (Repealed)
- 300.3620 Furnishings, Equipment and Supplies (New and Existing Facilities) (Repealed)
- 300.3630 Design and Construction Standards (New and Existing Facilities) (Repealed)

SUBPART R: DAYCARE PROGRAMS

- Section
- 300.3710 Day Care in Long-Term Care Facilities

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

SUBPART S: PROVIDING SERVICES TO PERSONS WITH SERIOUS MENTAL ILLNESS

Section	
300.4000	Applicability of Subpart S
300.4010	Comprehensive Assessments for Residents with Serious Mental Illness Residing in Facilities Subject to Subpart S
300.4020	Reassessments for Residents with Serious Mental Illness Residing in Facilities Subject to Subpart S
300.4030	Individualized Treatment Plan for Residents with Serious Mental Illness Residing in Facilities Subject to Subpart S
300.4040	General Requirements for Facilities Subject to Subpart S
300.4050	Psychiatric Rehabilitation Services for Facilities Subject to Subpart S
300.4060	Discharge Plans for Residents with Serious Mental Illness Residing in Facilities Subject to Subpart S
300.4070	Work Programs for Residents with Serious Mental Illness Residing in Facilities Subject to Subpart S
300.4080	Community-Based Rehabilitation Programs for Residents with Serious Mental Illness Residing in Facilities Subject to Subpart S
300.4090	Personnel for Providing Services to Persons with Serious Mental Illness for Facilities Subject to Subpart S

SUBPART T: FACILITIES PARTICIPATING IN ILLINOIS DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES' DEMONSTRATION PROGRAM FOR PROVIDING SERVICES TO PERSONS WITH SERIOUS MENTAL ILLNESS

Section	
300.6000	Applicability of Subpart T (<u>Repealed</u>)
300.6005	Quality Assessment and Improvement for Facilities Subject to Subpart T (<u>Repealed</u>)
300.6010	Comprehensive Assessments for Residents of Facilities Subject to Subpart T (<u>Repealed</u>)
300.6020	Reassessments for Residents of Facilities Subject to Subpart T (<u>Repealed</u>)
300.6030	Individualized Treatment Plan for Residents of Facilities Subject to Subpart T (<u>Repealed</u>)
300.6040	General Requirements for Facilities Subject to Subpart T (<u>Repealed</u>)
300.6045	Serious Incidents and Accidents in Facilities Subject to Subpart T (<u>Repealed</u>)

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 300.6047 Medical Care Policies for Facilities Subject to Subpart T (Repealed)
- 300.6049 Emergency Use of Restraints for Facilities Subject to Subpart T (Repealed)
- 300.6050 Psychiatric Rehabilitation Services for Facilities Subject to Subpart T (Repealed)
- 300.6060 Discharge Plans for Residents of Facilities Subject to Subpart T (Repealed)
- 300.6070 Work Programs for Residents of Facilities Subject to Subpart T (Repealed)
- 300.6080 Community-Based Rehabilitation Programs for Residents of Facilities Subject to Subpart T (Repealed)
- 300.6090 Personnel for Providing Services to Residents of Facilities Subject to Subpart T (Repealed)
- 300.6095 Training and Continuing Education for Facilities Subject to Subpart T (Repealed)

SUBPART U: ALZHEIMER'S SPECIAL CARE UNIT OR CENTER PROVIDING CARE TO PERSONS WITH ALZHEIMER'S DISEASE OR OTHER DEMENTIA

Section

- 300.7000 Applicability
- 300.7010 Admission Criteria
- 300.7020 Assessment and Care Planning
- 300.7030 Ability-Centered Care
- 300.7040 Activities
- 300.7050 Staffing
- 300.7060 Environment
- 300.7070 Quality Assessment and Improvement
- 300.7080 Variances to Enhance Residents' Quality of Life

- 300.APPENDIX A Interpretation, Components, and Illustrative Services for Intermediate Care Facilities and Skilled Nursing Facilities (Repealed)
- 300.APPENDIX B Classification of Distinct Part of a Facility for Different Levels of Service (Repealed)
- 300.APPENDIX C Federal Requirements Regarding Patients'/Residents' Rights (Repealed)
- 300.APPENDIX D Forms for Day Care in Long-Term Care Facilities
- 300.APPENDIX E Criteria for Activity Directors Who Need Only Minimal Consultation (Repealed)
- 300.APPENDIX F Guidelines for the Use of Various Drugs
- 300.APPENDIX G Facility Report
- 300.TABLE A Sound Transmission Limitations in New Skilled Nursing and Intermediate Care Facilities
- 300.TABLE B Pressure Relationships and Ventilation Rates of Certain Areas for New

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

300.TABLE C	Intermediate Care Facilities and Skilled Nursing Facilities Construction Types and Sprinkler Requirements for Existing Skilled Nursing Facilities/Intermediate Care Facilities
300.TABLE D	Heat Index Table/Apparent Temperature

AUTHORITY: Implementing and authorized by the Nursing Home Care Act [210 ILCS 45].
SOURCE: Emergency rules adopted at 4 Ill. Reg. 10, p. 1066, effective March 1, 1980, for a maximum of 150 days; adopted at 4 Ill. Reg. 30, p. 311, effective July 28, 1980; emergency amendment at 6 Ill. Reg. 3229, effective March 8, 1982, for a maximum of 150 days; amended at 6 Ill. Reg. 5981, effective May 3, 1982; amended at 6 Ill. Reg. 6454, effective May 14, 1982; amended at 6 Ill. Reg. 8198, effective June 29, 1982; amended at 6 Ill. Reg. 11631, effective September 14, 1982; amended at 6 Ill. Reg. 14550 and 14554, effective November 8, 1982; amended at 6 Ill. Reg. 14684, effective November 15, 1982; amended at 7 Ill. Reg. 285, effective December 22, 1982; amended at 7 Ill. Reg. 1972, effective January 28, 1983; amended at 7 Ill. Reg. 8579, effective July 11, 1983; amended at 7 Ill. Reg. 15831, effective November 10, 1983; amended at 7 Ill. Reg. 15864, effective November 15, 1983; amended at 7 Ill. Reg. 16992, effective December 14, 1983; amended at 8 Ill. Reg. 15599, 15603, and 15606, effective August 15, 1984; amended at 8 Ill. Reg. 15947, effective August 17, 1984; amended at 8 Ill. Reg. 16999, effective September 5, 1984; codified at 8 Ill. Reg. 19766; amended at 8 Ill. Reg. 24186, effective November 29, 1984; amended at 8 Ill. Reg. 24668, effective December 7, 1984; amended at 8 Ill. Reg. 25102, effective December 14, 1984; amended at 9 Ill. Reg. 132, effective December 26, 1984; amended at 9 Ill. Reg. 4087, effective March 15, 1985; amended at 9 Ill. Reg. 11049, effective July 1, 1985; amended at 11 Ill. Reg. 16927, effective October 1, 1987; amended at 12 Ill. Reg. 1052, effective December 24, 1987; amended at 12 Ill. Reg. 16811, effective October 1, 1988; emergency amendment at 12 Ill. Reg. 18477, effective October 24, 1988, for a maximum of 150 days; emergency expired March 23, 1989; amended at 13 Ill. Reg. 4684, effective March 24, 1989; amended at 13 Ill. Reg. 5134, effective April 1, 1989; amended at 13 Ill. Reg. 20089, effective December 1, 1989; amended at 14 Ill. Reg. 14950, effective October 1, 1990; amended at 15 Ill. Reg. 554, effective January 1, 1991; amended at 16 Ill. Reg. 681, effective January 1, 1992; amended at 16 Ill. Reg. 5977, effective March 27, 1992; amended at 16 Ill. Reg. 17089, effective November 3, 1992; emergency amendment at 17 Ill. Reg. 2420, effective February 3, 1993, for a maximum of 150 days; emergency expired on July 3, 1993; emergency amendment at 17 Ill. Reg. 8026, effective May 6, 1993, for a maximum of 150 days; emergency expired on October 3, 1993; amended at 17 Ill. Reg. 15106, effective September 3, 1993; amended at 17 Ill. Reg. 16194, effective January 1, 1994; amended at 17 Ill. Reg. 19279, effective October 26, 1993; amended at 17 Ill. Reg. 19604, effective November 4, 1993; amended at 17 Ill. Reg. 21058, effective November 20, 1993; amended at 18 Ill. Reg. 1491, effective January 14, 1994; amended at 18 Ill. Reg. 15868, effective October 15, 1994; amended

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

at 19 Ill. Reg. 11600, effective July 29, 1995; emergency amendment at 20 Ill. Reg. 567, effective January 1, 1996, for a maximum of 150 days; emergency expired May 29, 1996; amended at 20 Ill. Reg. 10142, effective July 15, 1996; amended at 20 Ill. Reg. 12208, effective September 10, 1996; amended at 21 Ill. Reg. 15000, effective November 15, 1997; amended at 22 Ill. Reg. 4094, effective February 13, 1998; amended at 22 Ill. Reg. 7218, effective April 15, 1998; amended at 22 Ill. Reg. 16609, effective September 18, 1998; amended at 23 Ill. Reg. 1103, effective January 15, 1999; amended at 23 Ill. Reg. 8106, effective July 15, 1999; amended at 24 Ill. Reg. 17330, effective November 1, 2000; amended at 25 Ill. Reg. 4911, effective April 1, 2001; amended at 26 Ill. Reg. 3113, effective February 15, 2002; amended at 26 Ill. Reg. 4846, effective April 1, 2002; amended at 26 Ill. Reg. 10523, effective July 1, 2002; emergency amendment at 27 Ill. Reg. 2181, effective February 1, 2003, for a maximum of 150 days; emergency expired June 30, 2003; emergency amendment at 27 Ill. Reg. 5452, effective March 25, 2003, for a maximum of 150 days; emergency expired August 21, 2003; amended at 27 Ill. Reg. 5862, effective April 1, 2003; emergency amendment at 27 Ill. Reg. 14204, effective August 15, 2003, for a maximum of 150 days; emergency expired January 11, 2004; amended at 27 Ill. Reg. 15855, effective September 25, 2003; amended at 27 Ill. Reg. 18105, effective November 15, 2003; expedited correction at 28 Ill. Reg. 3528, effective November 15, 2003; amended at 28 Ill. Reg. 11180, effective July 22, 2004; amended at 28 Ill. Reg. 14623, effective October 20, 2004; amended at 29 Ill. Reg. 876, effective December 22, 2004; emergency amendment at 29 Ill. Reg. 11824, effective July 12, 2005, for a maximum of 150 days; emergency rule modified in response to JCAR Recommendation at 29 Ill. Reg. 15101, effective September 23, 2005, for the remainder of the maximum 150 days; emergency amendment expired December 8, 2005; amended at 29 Ill. Reg. 12852, effective August 2, 2005; amended at 30 Ill. Reg. 1425, effective January 23, 2006; amended at 30 Ill. Reg. 5213, effective March 2, 2006; amended at 31 Ill. Reg. 6044, effective April 3, 2007; amended at 31 Ill. Reg. 8813, effective June 6, 2007; amended at 33 Ill. Reg. 9356, effective June 17, 2009; amended at 34 Ill. Reg. 19182, effective November 23, 2010; amended at 35 Ill. Reg. 3378, effective February 14, 2011; amended at 35 Ill. Reg. 11419, effective June 29, 2011; expedited correction at 35 Ill. Reg. 17468, effective June 29, 2011; amended at 36 Ill. Reg. 14090, effective August 30, 2012; amended at 38 Ill. Reg. _____, effective _____.

SUBPART T: FACILITIES PARTICIPATING IN ILLINOIS DEPARTMENT OF
PUBLIC AID'S DEMONSTRATION PROGRAM FOR PROVIDING
SERVICES TO PERSONS WITH SERIOUS MENTAL ILLNESS

Section 300.6000 Applicability of Subpart T (Repealed)

- a) ~~To be subject to this Subpart, a nursing facility shall request in writing to be~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

subject to this Subpart and shall meet each of the following criteria:

- 1) ~~90% or more of the resident population of the nursing home has a diagnosis of serious mental illness;~~
 - 2) ~~No more than 15 percent of the resident population of the nursing home is 65 years of age or older;~~
 - 3) ~~None of the residents have a primary diagnosis of moderate, severe, or profound mental retardation;~~
 - 4) ~~None of the residents require medical or nursing care at a level higher than the intermediate nursing care light level of care as defined in Section 300.1230(n) of this Part; and~~
 - 5) ~~The facility must participate in Illinois Department of Public Aid's demonstration program relating to specialized services, training, technical assistance, development and use of a standardized assessment tool, data collection, and admission restrictions.~~
- b) ~~A facility shall certify annually 150 to 120 days prior to license expiration that the facility meets all of the criteria listed in subsection (a) of this Section. A facility that has remained in compliance with admission practices in 89 Ill. Adm. Code 145 (Illinois Department of Public Aid (IDPA): Mental Health Services in Nursing Facilities) and has a resident population more than 15 percent of whom are 65 years of age or older may continue to participate if IDPA provides documentation that the facility has complied with admission practices for the 12 months preceding application.~~
- e) ~~A facility that has been subject to this Subpart may choose not to be subject to this Subpart by submitting a written notice to the Department within 30 days before the effective date of the facility's intent not to be subject to this Subpart.~~
- d) ~~For the purposes of this Subpart, "serious mental illness" is defined as the presence of a major disorder as classified in the Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition (DSM-IV), excluding alcohol and substance abuse, Alzheimer's disease, and other forms of dementia based upon organic or physical disorders. A severe mental illness is determined by all of the following~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

three areas:

- 1) ~~Diagnoses that constitute a serious mental illness are:~~
 - A) ~~Schizophrenia;~~
 - B) ~~Delusional disorder;~~
 - C) ~~Schizo-affective disorder;~~
 - D) ~~Psychotic disorder not otherwise specified;~~
 - E) ~~Bipolar disorder I—mixed, manic, and depressed;~~
 - F) ~~Bipolar disorder II;~~
 - G) ~~Cyclothymic disorder;~~
 - H) ~~Bipolar disorder not otherwise specified I;~~
 - I) ~~Major depression, recurrent;~~

- 2) ~~In addition, the individual must be 18 years of age or older and be substantially functionally limited due to mental illness in at least two of the following areas:~~
 - A) ~~Self maintenance;~~
 - B) ~~Social functioning;~~
 - C) ~~Community living activities;~~
 - D) ~~Work-related skills;~~

- 3) ~~Finally, the disability must be of an extended duration expected to be present for at least a year, which results in a substantial limitation in major life activities. These individuals will typically also have one of the following characteristics:~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- A) ~~Have experienced two or more psychiatric hospitalizations;~~
- B) ~~Receive Social Security Income (SSI) or Social Security Disability Income (SSDI) due to mental illness or could be deemed eligible for SSI or SSDI.~~
- e) ~~Facilities shall consider the location of a resident's room based on the resident's needs and the needs of other residents in the facility. Factors to be considered include aggressive behavior, supervision needs, noise level, friendship patterns, common rehabilitation goals or services, sleep patterns, interests, recreational pursuits, and vulnerability.~~
- f) ~~The following Sections of this Part do not apply to facilities subject to this Subpart: 300.660, 300.663, 300.682, 300.684, 300.690, 300.820, 300.830, 300.1010, 300.1220, 300.1230, 300.1240, 300.4000, 300.4010, 300.4020, 300.4030, 300.4040, 300.4050, 300.4060, 300.4070, 300.4080, and 300.4090.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6005 Quality Assessment and Improvement for Facilities Subject to Subpart T (Repealed)

- a) ~~The licensee shall develop and implement a quality assessment and improvement program designed to meet at least the following goals:~~
 - 1) ~~Ongoing monitoring and evaluation of the quality and accessibility of care and services provided at the facility or under contract, including, but not limited to:~~
 - A) ~~Admission of residents appropriate to the capabilities of the facility;~~
 - B) ~~Resident assessment;~~
 - C) ~~Development and implementation of appropriate individualized psychiatric treatment plan;~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- ~~D) Resident satisfaction;~~
 - ~~E) Discharge planning;~~
 - ~~F) Infection control; and~~
 - ~~G) Balancing resident autonomy and safety.~~
- 2) ~~Identification and analysis of problems.~~
 - 3) ~~Identification and implementation of corrective action or changes in response to problems.~~
- b) ~~The program shall operate pursuant to a written plan, which shall include, but not be limited to:~~
- 1) ~~A detailed statement of how problems will be identified;~~
 - 2) ~~The methodology and criteria that will be used to formulate action plans to address problems;~~
 - 3) ~~Procedures for evaluating the effectiveness of action plans and revising action plans to prevent reoccurrence of problems;~~
 - 4) ~~Procedures for documenting the activities of the program; and~~
 - 5) ~~Identifying the persons responsible for administering the program.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6010 Comprehensive Assessments for Residents of Facilities Subject to Subpart T (Repealed)

- a) ~~The facility shall establish an Interdisciplinary Team (IDT) for each resident. The IDT is a group of persons that represents those professions, disciplines, or service areas that are relevant to identifying an individual's strengths and needs, and that designs a program to meet those needs. The IDT includes, at a minimum, the resident; the resident's guardian; a Psychiatric Rehabilitation Services Coordinator~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~(PRSC); the resident's primary service providers, including an RN or an LPN with responsibility for the medical needs of the individual; a psychiatrist; a social worker; an activity professional; and other appropriate professionals and care givers as determined by the resident's needs. The resident or his or her guardian may also invite other individuals to meet with the IDT and participate in the process of identifying the resident's strengths and needs.~~

- b) ~~The IDT shall identify the individual's needs by performing a comprehensive assessment as needed to supplement any preliminary evaluation conducted prior to admission to the facility. The assessment shall be coordinated by a PRSC.~~
- e) ~~A comprehensive assessment shall be completed by the IDT no later than 14 days after admission to the facility. Reports from the pre-admission screening assessment or assessments conducted to meet other requirements may be used as part of the comprehensive assessment if the assessment reflects the current condition of the individual and was completed no more than 90 days prior to admission. The assessment shall include at least the following:~~
 - 1) ~~A psychiatric evaluation completed by a board certified or board eligible psychiatrist or by a person who is a certified psychiatric nurse, a nurse with a Bachelor of Science in Nursing (BSN) and two years of experience serving individuals with serious mental illness or a registered nurse with five years of experience serving individuals with serious mental illness, a licensed clinical social worker, a physician, a licensed psychologist, or a licensed clinical professional counselor (LCPC) under the Professional Counselor and Clinical Professional Counselor Licensing Act [225 ILCS 107] if countersigned by a board certified or board eligible psychiatrist. The psychiatric evaluation shall include:~~
 - A) ~~Psychiatric history with present and previous psychiatric symptoms;~~
 - B) ~~Comprehensive mental status examination, which includes: a statement of assets and deficits, a description of intellectual functioning, memory functioning, orientation, affect, suicidal/homicidal ideation, response to reality testing, and current attitudes and overt behaviors; and~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- ~~C) Diagnostic formulation, problems, and diagnosis using the Diagnostic and Statistical Manual IV (DSM-IV), ensuring that information is recorded on as many of the five axes as appropriate.~~
- 2) Psychosocial assessment performed by the Psychiatric Rehabilitation Services Director (PRSD), a social worker, an occupational therapist, or an LCPC or the Psychiatric Rehabilitation Services Coordinator (PRSC) if reviewed and countersigned by the PRSD, a social worker, or LCPC. The assessment shall cover the following points:

 - A) Identifying information (including resident's name, age, race, religion, date of admission; name of individuals giving information);
 - B) Reason for admission (including specific problems and how long the problems have existed in their current state; contributing factors to exacerbation of problems; most recent psychiatric treatment and effects; goals of nursing facility placement as articulated by referral source);
 - ~~C) History of mental illness, treatment, and care (including age of onset; private and public hospital inpatient episodes; community mental health care; prior nursing facility placement; specific treatments and effects);~~
 - ~~D) Personal history (including current marital status; marital history including name, occupation, and age of current and previous spouses; name, age, sex and occupation of children, if any; and status of significant personal relationships with individuals (past and present); work history of individual, including all known past professions and/or jobs);~~
 - ~~E) Residential history (including, for the last two years, the types of housing (e.g., family, public housing, apartment, room, or community agency), relationship to other occupants, the total number of known moves; factors known to have contributed to past housing loss; the highest level of residential independence attained, approximate date and length; any patterns of persistent~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~residential instability or homelessness);~~

- ~~F) Family history (including information regarding individual's parents and siblings; any significant family illnesses, especially psychiatric illnesses; history of traumatic or significant loss, including where, when and effect on individual); and~~
 - ~~G) Developmental history (including early life history, place of birth, where raised and by whom and with whom; school history; and history regarding friends, hobbies, interests, social activities and interactions).~~
- 3) A skills assessment performed by a social worker, occupational therapist, or PRSC or PRSD with training in skills assessment. The skills assessment shall include an evaluation of the resident's strengths, an assessment of the resident's levels of functioning, and recommendations for treatment and services, including but not limited to the following areas:
- A) self-maintenance (including basic activities of daily living such as hygiene, dressing, grooming, maintenance of personal space, care of belongings, diet and nutrition, and personal safety);
 - B) social skills (including communication, peer group involvement, friendship, family interaction, male/female relationship, and conflict avoidance and resolution);
 - C) community living skills (including use of telephone, transportation and community navigation, avoidance of common dangers, shopping, money management, homemaking (cleaning, laundry, meal preparation), and use of community resources);
 - D) occupational skills (including basic academic skills; job seeking and retention skills; ability to initiate and schedule activities; promptness and regular attendance; ability to accept, understand and carry out instructions; ability to complete an application; and interview skills);
 - E) symptom management skills (including symptom monitoring and

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~coping strategies; stress identification and management; impulse control; medication management and self-medication capability; relapse prevention); and~~

- F) ~~substance abuse management (including recovery, relapse prevention, and harm reduction).~~
- 4) ~~Assessments and examinations required by Section 300.6047 of this Part.~~
- 5) ~~Discharge plan as required by Section 300.6060 of this Part.~~
- 6) ~~Other assessments recommended by the IDT or required elsewhere in this Subpart or as ordered by the resident's physician or psychiatrist to clarify diagnoses or to identify concomitant motivational, cognitive, affective, or physical deficits that could have an impact on rehabilitation efforts and outcomes, as indicated by the individual's needs.~~
- 7) ~~A structured assessment of resident interests and expectations regarding psychiatric rehabilitation conducted by the PRSC or PRSD with each resident. The assessment shall include at a minimum:~~
 - A) ~~resident's identification of personal strengths, goals, needs, and resources;~~
 - B) ~~skill development and problem areas for which the resident expresses an interest in setting goals and participating in psychiatric rehabilitation programming;~~
 - C) ~~resident's beliefs and confidence regarding his/her capacity to develop increased skills and independence.~~
- d) ~~Based on the results of all assessments, the PRSD or PRSC shall develop a narrative statement for the IDT review that summarizes findings regarding the resident's strengths and limitations; indicates the resident's expressed interests, expectations, and apparent level of motivation for psychiatric rehabilitation; and prioritizes needs for skill development related to improved functioning and increased independence. The IDT's assessment of overall rehabilitation focus for the resident shall also be identified as one of the following levels:~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 1) ~~Basic skills training and supports with opportunities for community integration;~~
- 2) ~~Intensive skills training and supports with an increasing focus on community integration; or~~
- 3) ~~Advanced skills training and supports with active linkage and use of community services in preparation for expected discharge within six months.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6020 Reassessments for Residents of Facilities Subject to Subpart T (Repealed)

- a) ~~At least every three months, the PRSC shall document review of the resident's progress, assessments and treatment plans. If needed, the PRSC shall inform the appropriate IDT members of the change in resident's condition. The appropriate IDT member shall reassess the resident and update the resident's assessment, assuring the continued accuracy of the assessment.~~
- b) ~~*All persons admitted to a nursing home facility with a diagnosis of serious mental illness who remain in the facility for a period of 90 days shall be re-screened by the Department of Human Services or its designee at the end of the 90-day period, at 6 months, and annually thereafter to assess their continued need for nursing facility care and shall be advised of all other available care options. (Section 2-104.3 of the Act) Complete comprehensive reassessments shall be conducted in the following areas:*~~
 - 1) ~~Psychiatric evaluation;~~
 - 2) ~~Psychosocial assessment update (including significant events, e.g., death of a significant other since the last reassessment);~~
 - 3) ~~Skills assessment update, including an assessment of resident levels of functioning and reassessment or rehabilitation potential (an evaluation of the individual's strengths, potentials, environmental opportunities and ability/likelihood of achieving maximum functioning); and a narrative~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~statement of the individual's strengths and potentials as they directly relate to the individual's functional limitations with recommendations for treatment and/or services, and the potential of the individual to function more independently. A complete reassessment shall be required if changes in the resident's functional level make the current assessment inapplicable. If a complete reassessment is not required, the update must include a narrative summary of the reevaluated assessment;~~

- 4) ~~Recreational and leisure activities updates, including the resident's participation, perceived enjoyment, frequency of self-initiated involvement versus staff coaxing or refusal, and recommended interventions;~~
- 5) ~~Physical examination update, including, but not limited to:~~
 - A) ~~Medical history and medication history updates, including any illness, and changes in medical diagnosis and medication prescription or indication of administration compliance that has occurred since the last assessment;~~
 - B) ~~Oral screening updates completed by a dentist or registered nurse;~~
 - C) ~~Nutritional update completed by a dietitian or the food service supervisor under the direction of the dietitian; and~~
- 6) ~~Other assessments needed, as determined by the interdisciplinary team.~~

(Source: Effective at 38 Ill. Reg. _____, effective _____)

Section 300.6030 Individualized Treatment Plan for Residents of Facilities Subject to Subpart T (Repealed)

- a) ~~On admission, information received from the admission source (e.g., resident, family, preadmission screening (PAS) agent) shall be used to develop an interim treatment plan. In developing an individual's interim treatment plan (ITTP), the facility shall review the PAS/MH assessments and "Notice of Determination" and consider the use of this information in developing the interim treatment plan. The HTP shall focus on those behaviors and needs requiring attention prior to~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~development of the individualized treatment plan (ITP). Each ITP shall be based on physician's orders and shall include diagnosis, allergies and other pertinent medical information. The following information shall also be considered, as appropriate, to allow for the identification and provision of appropriate services until a final plan is developed:~~

- ~~1) Known risk factors (e.g., wandering, safety issues, aggressive behavior, suicide, self-mutilation, possible victimization by others);~~
 - ~~2) Observable resident medical/psychiatric conditions that may require additional immediate assessment or consultation;~~
 - ~~3) Therapeutic involvement that might be of interest to the resident, be recommended based on referral information, aid in orientation or provide meaningful data for further professional assessment; and~~
 - ~~4) Other known factors having an impact on the resident's condition (e.g., family involvement, social interaction patterns, cooperation with treatment planning).~~
- b) ~~An ITP shall be developed within 7 days after completion of the comprehensive assessment.~~
- e) ~~The plan for each resident shall state specific goals that are developed by the IDT. The resident's major needs shall be prioritized, and approaches or programs shall be developed with specific goals, to address the higher prioritized needs. If a lower priority need is not being addressed through a specific goal or program, a statement shall be made as to why it is not being addressed or how the need will be otherwise addressed.~~
- d) ~~The ITP shall contain objectives to reach each of the individual's goals in the plan. Each objective shall:~~
- ~~1) Be developed by the IDT;~~
 - ~~2) Be based on the results obtained from the assessment process;~~
 - ~~3) Be stated in measurable terms and identify specific performance measures~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~to assess; and~~

- ~~4) Be developed with a projected completion or review date (month, day, year).~~
- e) ~~Services designed to implement the objectives in the resident's ITP shall specify:~~
 - ~~1) Specific approaches or steps to meet the objective;~~
 - ~~2) Planned skills training, skill generalization technique, incentive/behavior therapy, or other interventions to accomplish the objectives, including the frequency (number of times per week, per day, etc.), quantity (number of minutes, hours, etc.) and duration (period of time, e.g., over the next six months) and the supports necessary for the resident to participate;~~
 - ~~3) The evaluation criteria and time periods to be used in monitoring the expected results of the intervention; and~~
 - ~~4) Identification of the staff responsible for implementing each specific intervention.~~
- f) ~~Whenever possible, residents shall be offered some choice among rehabilitation interventions that will address specific ITP objectives using techniques suited to individual needs.~~
- g) ITP Documentation:
 - ~~1) Significant events that are related to the resident's ITP, and assessments that contribute to an overall understanding of his/her ongoing level and quality of functioning, shall be documented.~~
 - ~~2) The resident's response to the ITP and progress toward goals shall be documented in progress notes.~~
- h) ~~The ITP shall be reviewed by the IDT quarterly and in response to significant changes in the resident's symptoms, behavior or functioning; sustained lack of progress; the resident's refusal to participate or cooperate with the treatment plan; the resident's potential readiness for discharge and actual planned discharge; or~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~the resident's achievement of the goals in the treatment plan.~~

- i) ~~The resident's ITP must be signed by all members of the IDT participating in its development, including the resident or the resident's legal guardian.~~
- j) ~~If the resident refuses to attend the IDT meeting, the PRSC shall meet with the resident to review and discuss the treatment plan as soon as possible, not to exceed 96 hours after the treatment plan review. Evidence shall be documented that the ITP was explained to the resident or legal guardian of the resident.~~
- k) ~~The resident's treating psychiatrist shall review and approve the resident's treatment plan as developed by the IDT. The date of this review and approval shall be entered on the resident's treatment plan and be signed by the attending psychiatrist.~~
- l) ~~The ITP shall be based upon each resident's assessed functioning level, appropriate to age, and shall include structured group or individual psychiatric rehabilitation services interventions or skills training activities, as appropriate, in the following areas:~~
 - 1) ~~Self-maintenance;~~
 - 2) ~~Social skills;~~
 - 3) ~~Community living skills;~~
 - 4) ~~Occupational skills;~~
 - 5) ~~Symptom management skills; and~~
 - 6) ~~Substance abuse management.~~
- m) ~~Activity interventions for individual residents shall be part of but not used to replace psychiatric rehabilitation programming and should provide for using skills in new situations. Activity programs shall comply with Section 300.1410 of this Part.~~
- n) ~~Residents' attendance in therapeutic programs shall be recorded.~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- o) ~~The PRSC shall assess the reason for the failure to attend whenever a resident fails to attend at least 50 percent of any programs included in his or her ITP over a 30 day period. Within 14 days after noting this failure, the PRSC shall document why the resident's attendance was less than 50 percent and that the resident's attendance is, at the time of the documentation, more than 50 percent, or the PRSC shall conduct an IDT meeting. This IDT meeting shall result in a change in components of the resident's treatment plan or shall indicate why a change is not needed.~~
- p) ~~The PRSC is responsible for coordinating staff in the delivery of psychiatric rehabilitation services programs, oversight of data collection, and the review of the resident's performance.~~
 - 1) ~~At least quarterly, and prior to the treatment plan reviews, the PRSC shall meet with the resident to review and discuss the resident's current treatment plan, progress toward achieving the objectives, and obstacles inhibiting progress. Based upon this review, the PRSC, in consultation with the appropriate IDT members, shall revise the resident's ITP as needed. The revised treatment plan shall be submitted to the appropriate IDT members for review, approval and signature.~~
 - 2) ~~At least quarterly, the PRSC shall record the resident's response to treatment in the clinical record.~~
- q) ~~The psychiatric rehabilitation services aides shall record the resident's response to those areas overseen by the aide.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6040 General Requirements for Facilities Subject to Subpart T (Repealed)

- a) ~~The psychiatric rehabilitation services program of the facility shall provide the following services as needed by residents of the facility:~~
 - 1) ~~24 hours of continuous supervision, support and therapeutic interventions;~~
 - 2) ~~Psychotropic medication administration, monitoring, and self-~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

administration;

- 3) ~~Case management services and discharge preparation and training;~~
 - 4) ~~Psychiatric rehabilitation services addressing major domains of functioning and skills development: self-maintenance, social and community living, occupational preparedness, symptom management, and substance abuse avoidance;~~
 - 5) ~~Crisis services; and~~
 - 6) ~~Personal care assistance.~~
- b) ~~The psychiatric rehabilitation services programs in the facility shall be designed to improve or maintain the resident's level of functioning and independence.~~
- c) ~~The facility's psychiatric rehabilitation program shall have the following overall goals:~~
- 1) ~~Encourage the engagement of each resident in his/her recovery and rehabilitation;~~
 - 2) ~~Increase acquisition, performance, and retention of skills to enhance independence and promote community integration;~~
 - 3) ~~Support the progressive assumption of as much personal responsibility, self-management, and self-determination as each resident can manage;~~
 - 4) ~~Broaden the use of living, coping, and occupational skills to new environments with an ultimate goal of discharge to a more independent living arrangement, as appropriate;~~
 - 5) ~~Decrease psychotic, self-injurious, antisocial, and aggressive behaviors;~~
 - 6) ~~Decrease the impact of cognitive deficits as an impediment to learning new skills;~~
 - 7) ~~Foster the human dignity, personal worth, and quality of life of each~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

resident; and

- 8) ~~Support the testing and application of advanced or specialized psychiatric rehabilitation techniques that have demonstrated effectiveness in research settings and that address identified needs of individuals or groups of residents in the facility in conjunction with the demonstration project.~~
- d) ~~The psychiatric rehabilitation program shall provide education and training to maximize residents' capacities for self-management of psychotropic medications and utilization of other supportive mental health services, such as cooperation with a prescribed treatment regimen, self-medication, recognition of early symptoms of relapse, and interactive effects with other drugs and alcohol.~~
- e) ~~The facility shall have written policies and procedures related to smoking, including smoke-free areas, risk assessment for individuals who smoke, and the conditions and locations where smoking is permitted in the facility.~~
- f) ~~A facility shall document all leaves and therapeutic transfers. Such documentation shall include date, time, condition of resident, person to whom the resident was released, planned destination, anticipated date of return, and any special instructions on medication dispensed.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

**Section 300.6045 Serious Incidents and Accidents in Facilities Subject to Subpart T
(Repealed)**

- a) ~~The facility shall notify the Department of any incident or accident that has, or is likely to have, a significant effect on the health, safety, or welfare of a resident or residents. Incidents and accidents resulting in injury requiring the services of a physician, hospital, or police, or other service provider on an emergency basis and/or requiring the services of the coroner or fire department shall be reported to the Department.~~
 - 1) ~~Notification shall be made by faxing Section 300.Appendix G to (217)785-9182 within 24 hours after each serious incident or accident; or~~
 - 2) ~~Notification shall be made by a phone call to the Regional Office within~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~24 hours after each serious incident or accident; or~~

- ~~3) If the facility is unable to contact the Regional Office or use the fax number, notification shall be made by a phone call to the Department's toll-free complaint registry number.~~
- b) ~~A narrative summary of each serious accident or incident occurrence shall be sent to the Department within seven days after the occurrence.~~
- e) ~~A descriptive summary of each incident or accident shall be recorded in the progress notes or nurse's notes for each resident involved.~~
- d) ~~The facility shall maintain a file of all written reports of serious incidents or accidents involving residents.~~

~~(Source: Added at 26 Ill. Reg. 3113, effective February 15, 2002)~~

Section 300.6047 Medical Care Policies for Facilities Subject to Subpart T (Repealed)

- a) ~~The facility shall have a written program of medical services, approved in writing by a physician, that reflects the philosophy of care provided, the policies relating to this philosophy, and the procedures for implementation of the services. The program shall emphasize the use of the resident's personal physician and arrangements, as needed, to effect prompt transfer to other facilities. The written program of medical services shall be followed in the operation of the facility.~~
- b) ~~Each resident admitted shall have a physical examination within five days prior to admission or within 14 days after admission. The examination report shall include at a minimum each of the following:~~
 - 1) ~~An evaluation of the resident's condition, including height, weight and nutritional status; diagnoses; plan of treatment; treatment and medication orders; permission for participation in activity programs as appropriate; an assessment of sensory and physical impairments; and a medical history, including history of allergies, surgeries, medications, and other significant medical conditions;~~
 - 2) ~~Documentation of the presence or absence of communicable diseases;~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~such as tuberculosis infection, in accordance with Sections 300.1020 and 300.1025 of this Part; and~~

- ~~3) Documentation of the medical needs and plans for meeting those needs, including:
 - ~~A) an assessment of proper treatment and assistive devices to maintain vision and hearing abilities; and~~
 - ~~B) an assessment for specialized rehabilitative services, such as physical therapy, speech language pathology and occupational therapy.~~~~
- ~~e) Each resident shall have an oral screening by a dentist or a nurse within 30 days after admission and annually thereafter.~~
- ~~d) The facility shall notify the resident's physician of any accident, injury, or significant change in a resident's condition that threatens the health, safety or welfare of a resident. The facility shall obtain and record the physician's plan of care or treatment of such accident, injury or change in condition at the time of notification.~~
- ~~e) The facility shall have a written agreement with one or more hospitals or other providers, as necessary, that indicates that the hospital, hospitals, or providers will provide diagnostic, emergency and routine acute care hospital services. (This requirement shall be waived when the facility can document to the satisfaction of the Department that by reason of remote location or refusal of local hospitals to enter an agreement, it is unable to effect such an agreement.)~~
- ~~f) At the time of an accident or injury, immediate treatment shall be provided by personnel trained in first aid procedures.~~

~~(Source: Added at 26 Ill. Reg. 3113, effective February 15, 2002)~~

**Section 300.6049 Emergency Use of Restraints for Facilities Subject to Subpart T
(Repealed)**

- ~~a) If a resident presents a behavioral emergency posing an immediate danger of~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~serious injury to self or others and alternative interventions cannot ensure safety, restraint may be used for a brief period until emergency care and physical transportation to a hospital can be accomplished. The use of restraint is otherwise not permitted. In situations that are successfully resolved through manual holding lasting less than five minutes, without take down to the ground or undue force, and without injury, transport to an emergency room/hospital is not required.~~

- b) ~~Restraint use shall be limited to the least amount of physical restriction and the briefest possible duration necessary to resolve the immediate danger.~~
- e) ~~The limitation on permitted restraint use applies to any physical restraint, including the physical take down and prolonged restriction of movement by staff, as well as any mechanical restraint device. Gentle physical guidance, prompting and escort of a resident are not considered restraint.~~
- d) ~~Any resident being restrained until arrival of emergency transportation to the hospital shall be continuously monitored to ensure safety, avoid injury, and minimize discomfort. The resident's position shall be monitored to ensure that breathing is unrestricted. Resident hydration or toileting needs shall be addressed unless precluded by immediate safety concerns.~~
- e) ~~Nursing staff shall examine the resident as soon as possible, but within ten minutes after the start of the restraint.~~
- f) ~~The resident's psychiatrist shall be contacted immediately for medical direction. If the resident's psychiatrist is unavailable, the Psychiatric Medical Director shall be contacted.~~
- g) ~~The staff involved in the restraint shall be debriefed by the Director of Nursing regarding the events leading up to the restraint, take down and/or application, and the resident's condition during the restraint. The resident shall be debriefed after return from the hospital.~~
- h) ~~The emergency use of physical restraints shall be documented in the resident's record, including:~~
 - 1) ~~The behavioral emergency that prompted the restraint, including preceding events, staff efforts to de-escalate the situation, and reasons for the use of~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~restraint.~~

- ~~2) The date and time restraint began, the methods used in restraining the resident, the duration of restraint use, the time of release, and events surrounding release.~~
- ~~3) The names and titles of the staff responsible for applying restraint and for monitoring the resident, and those of any other staff involved in the incident.~~
- ~~4) Orders by the psychiatrist or psychiatric medical director notified of the restraint.~~
- ~~5) The emergency transportation utilized and the hospital to which the resident was taken.~~
- ~~6) Any injury or other negative impact to the resident.~~
- ~~7) The date of the scheduled care planning conference and results of the care plan review in light of the use of emergency restraint.~~
- ~~i) Facility staff, including security staff, shall receive a basic orientation to crisis prevention, safe take-down and restraint methods.~~
- ~~j) The facility shall maintain records of training related to the use of restraints and de-escalation practices provided to staff.~~
- ~~k) The facility's emergency use of physical restraint shall comply with Section 300.682(e), (f), (g), and (j).~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6050 Psychiatric Rehabilitation Services for Facilities Subject to Subpart T (Repealed)

- ~~a) The facility shall develop and implement a psychiatric rehabilitation program. The program shall be designed to allow a wide array of group and individual therapeutic activities, including, but not limited to, the following:~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 1) ~~Skills training programs and supports addressing a comprehensive range of skill areas, including the major domains of self-maintenance, social functioning, community living, occupational preparedness, symptom management, and substance abuse management. Skills training programs should:~~
 - A) ~~Include available published, validated modules with highly structured curricula for teaching targeted skills (e.g., trainer's manuals and videotapes that demonstrate the skills to be learned);~~
 - B) ~~Proceed within a training to mastery framework that addresses discrete sets of skill competencies, introduces targeted skills in a graded fashion, and regulates the difficulty of exercises to create a momentum of success;~~
 - C) ~~Include focused instructions and modeling, frequent repetition of new material, auditory and visual representation, role playing and practice, and immediate positive feedback for attention and participation; and~~
 - D) ~~Be adjusted in content, form and duration to match residents' profiles in terms of stress tolerance, learning impairments, and motivational characteristics. Environmental conditions shall be arranged to help compensate for deficits in resident concentration, attention, and memory (e.g., reduction of distracting stimuli and extensive use of supportive reminder cues), as needed.~~
- 2) ~~Incentive programs, such as motivational interviewing, behavioral contracting, shaping or individual positive reinforcement, and token economy.~~
- 3) ~~Strategies for skill generalization, such as homework, in vivo training, resource management skills, problem solving skills, and self-management skills (self-monitoring, self-evaluation and self-reinforcement).~~
- 4) ~~Aggression prevention and management, including resident screening (history of aggressive and assaultive behavior, precipitating factors,~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~signals of escalating risk, and effective de-escalation strategies); identification and modification of environment risk factors (e.g., physical plant and resident mix); provision of skills training, behavioral interventions, and appropriate psychopharmacological interventions based on individualized resident assessment; and policies and procedure for rapid response to behavioral emergencies.~~

- ~~5) Substance dependence and abuse management services, including toxicological screens, psychopharmacology, alcohol and drug education, group interventions, and recovery programs (e.g., Alcoholics Anonymous (AA), Narcotics Anonymous (NA), Mentally Ill Substance Abusers (MISA)).~~
- b) ~~The facility's psychiatric rehabilitation program shall be integrated with other services provided to residents by the facility to develop a cohesive approach to each resident's overall needs and consistent plan of care.~~
- e) ~~Each facility shall have a written description of the components provided by the psychiatric rehabilitation program. Documentation shall include a description of psychiatric rehabilitation principles, the specific rehabilitation techniques and methods, and the type/level of staff utilization in providing each service to the residents.~~
 - 1) ~~The facility's psychiatric rehabilitation program shall include specific components aimed at residents with multiple cognitive impairments.~~
 - 2) ~~The facility's psychiatric rehabilitation program shall develop, apply and evaluate strategies to create opportunities for residents to practice, transfer, and utilize skills both in the facility and in the broader community.~~
 - 3) ~~Resources utilized outside the facility for service provision, consultation or referrals shall be included in this documentation.~~
- d) ~~The facility's psychiatric rehabilitation program shall demonstrate close working alliances with community mental health and vocational service providers through such indicators as joint staff training and planning activities, mutual referrals, collaborative resident treatment planning, and effective resident transition.~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

**Section 300.6060 Discharge Plans for Residents of Facilities Subject to Subpart T
(Repealed)**

- a) ~~As part of the ITP, a discharge plan shall be considered by the interdisciplinary team as a component of the individual's comprehensive program plan. This plan shall address the reduction of symptoms and the acquisition of behaviors and prioritized skill deficits that inhibit the individual from moving to a more independent environment.~~

- b) ~~During the year, but no later than six weeks prior to a planned discharge, preparation for discharge shall address:~~
 - 1) ~~Identification and linkage to proposed community providers;~~
 - 2) ~~Self directed initiation and compliance with mental health services while in the facility;~~
 - 3) ~~Use of community mental health services;~~
 - 4) ~~Assistance with locating and securing housing; and~~
 - 5) ~~Assistance with identification, application and securing financial resources.~~

- e) ~~At least 30 days before the individual's planned discharge, the PRSC must notify the individual or the individual's legal representative and, when appropriate, the individual's family, both orally and in writing, of the upcoming planned discharge. A specific, individualized post discharge plan must be developed by the IDT, and, when appropriate, with input from community support agencies, family and friends, 30 days before the planned discharge. The plan will identify:~~
 - 1) ~~The alternative living site;~~
 - 2) ~~Financial resources available;~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- ~~3) Community service needs and availability;~~
- ~~4) Community mental health services with scheduled psychiatric appointments;~~
- ~~5) Access to medical care and medications; and~~
- ~~6) Case management system responsible for transition and follow up.~~
- d) ~~The discharge plan shall consider the resident's geographic preference upon discharge and the need for financial assistance.~~
- e) ~~Referral and linkage to the post-discharge service provider should occur with face-to-face contact, on-site visits and, if appropriate, assumption of partial services prior to discharge.~~
- f) ~~At the time of discharge, the facility shall:~~
 - ~~1) Prepare a discharge summary of the resident's current psychiatric status; self-care skills; behavior and impulse control; social functioning; community living skills; basic educational, vocational and work related skills; substance abuse history; and general health status. Dates of resident's pre-discharge contact with the aftercare agency shall be included, as well as specific issues that may have a negative impact on community adjustment. The discharge plan shall also include recommendations for transitional programming and the name, address, telephone number, and time and date of the resident's first post-discharge appointment with the aftercare service provider.~~
 - ~~2) Provide the post-discharge plan of care and the discharge summary to the resident's new service provider.~~

(Source: Amended at 38 Ill. Reg. _____, effective _____)

**Section 300.6070 Work Programs for Residents of Facilities Subject to Subpart T
(Repealed)**

- a) ~~In-house facility work programs for individual residents participating in the~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~psychiatric rehabilitation program shall be considered to increase work related skills, further residents' socialization, foster independence, and increase a sense of well-being and adjustment.~~

- b) ~~The facility shall work with State and community agencies in assisting individual program residents to avail themselves of specialized work activity programs, prevocational and work adjustment training, supportive employment, sheltered workshop programs, and other similar programs that are provided outside of the facility.~~
- e) ~~Appropriate records shall be maintained for residents functioning in work programs in the facility or outside the facility. These shall show appropriateness of the program for the individual; objectives; resident duties, training and supervision; resident's response to the program; and any other pertinent observations. This information shall become a part of the resident's record.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6080 Community-Based Rehabilitation Programs for Residents of Facilities Subject to Subpart T (Repealed)

~~Community-based (off-site) rehabilitation programs shall be used as an adjunct to the facility program where their use will assist in community reintegration or in the development of relationships with the agency that will be providing services to the individuals after discharge. The facility shall develop and maintain working relationships and written agreements with community agencies that provide psychiatric rehabilitation services. Appropriate records shall be maintained for residents receiving psychiatric rehabilitation services from outside agencies. These records shall show the appropriateness of the program for the individual, the ITP objectives addressed, the interventions being utilized, the resident's response to the program, the responsible community agency staff, and any other pertinent observations.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6090 Personnel for Providing Services to Residents of Facilities Subject to Subpart T (Repealed)

- a) ~~Psychiatric Medical Director~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 1) ~~The facility shall have a psychiatric medical director who is an Illinois licensed physician and is board eligible or board certified in psychiatry from the American Board of Psychiatry and Neurology. The psychiatric medical director is responsible for advising the administrator and the Psychiatric Rehabilitation Services Director on the overall psychiatric management of the residents.~~
 - 2) ~~The psychiatric medical director shall be the medical director of the entire facility or only for the psychiatric rehabilitation program. If the psychiatric medical director is only responsible for the psychiatric rehabilitation program, there shall be communication linkages between the psychiatric medical director and the medical director.~~
 - 3) ~~The psychiatric medical director shall be responsible for annually approving in writing the facility's written policies and procedures applicable to the psychiatric rehabilitation program.~~
 - 4) ~~Each resident shall be under the care of a psychiatrist. All residents or residents' guardians shall be permitted their choice of psychiatrist.~~
 - 5) ~~Each resident shall be seen by a psychiatrist at least every 90 days and as often as necessary to ensure adequate psychiatric treatment.~~
- b) Psychiatric Rehabilitation Services Director
- 1) ~~A Psychiatric Rehabilitation Services Director (PRSD) shall be a licensed, registered, or certified psychiatrist, psychologist, social worker, occupational therapist, rehabilitation counselor, psychiatric nurse or licensed professional counselor and have a minimum of at least one year of supervisory experience and at least one year of experience working directly with persons with serious mental illness.~~
 - 2) ~~An individual who is employed at a licensed nursing home in a capacity similar to that of a Psychiatric Rehabilitation Services Director on January 1, 2002 and who has at least five years of experience in that capacity may petition the Department for approval to continue to act in that role even if the individual is not a licensed, registered, or certified psychiatrist, psychologist, social worker, rehabilitation counselor, psychiatric nurse or~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~licensed professional counselor. The Department will consider information submitted in accordance with subsection (i) of this Section in deciding whether to grant approval. The Department may revoke approval if the individual fails to continue to meet professional standards or to complete the required training.~~

- 3) ~~Each facility shall have a full time PRSD for the psychiatric rehabilitation program who is assigned responsibility for:~~
 - A) ~~Developing and implementing the facility's psychiatric rehabilitation program;~~
 - B) ~~Developing and implementing the facility's staff training and in-service programs relating to the psychiatric rehabilitation program; and~~
 - C) ~~Ensuring the coordination and monitoring of the residents' participation in the psychiatric rehabilitation program ITP.~~
 - 4) ~~The PRSD shall ensure that each resident's ITP is developed by an interdisciplinary team and is individualized, states the progressive goals of treatment, includes measurable objectives, is written in behavioral terms, is understandable and acknowledged by resident and staff, and is implemented.~~
 - 5) ~~The PRSD shall ensure that residents' needs are met through appropriate staff interventions and community resources and, whenever possible, that residents and their families or significant others are involved in the preparation of their plan of care.~~
 - 6) ~~The PRSD shall ensure the availability of education and information for family members of residents.~~
- e) ~~Psychiatric Rehabilitation Services Coordinator~~
- 1) ~~A Psychiatric Rehabilitation Services Coordinator (PRSC) shall be an occupational therapist or possess a bachelor's degree in a human services field (including but not limited to: sociology, special education,~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~rehabilitation counseling or psychology) and have a minimum of one year of supervised experience in mental health or human services.~~

- 2) ~~An individual who is employed at a licensed nursing home in a capacity similar to that of a Psychiatric Rehabilitation Services Coordinator on January 1, 2002 and who has at least five years of experience in that capacity may petition the Department for approval to continue to act in that role even if the individual does not possess a bachelor's degree in human services. The Department will consider information submitted in accordance with subsection (i) of this Section in deciding whether to grant approval. The Department may revoke approval if the individual fails to continue to meet professional standards or to complete required training.~~
- 3) ~~Each resident admitted to the facility shall have a PRSC to act as a case manager. The PRSC will be identified as the staff member to whom the resident primarily relates for the coordination of service.~~
- 4) ~~The responsibilities of the PRSC are:~~
 - A) ~~To provide the resident with a stable therapeutic relationship;~~
 - B) ~~To orient the resident to the facility;~~
 - C) ~~To review and assist the resident in understanding the treatment plan and program schedule;~~
 - D) ~~To prepare and assist the resident with active participation in the treatment plan review;~~
 - E) ~~To provide the delivery of the psychiatric rehabilitation services;~~
 - F) ~~To coordinate the delivery of the psychiatric rehabilitation services; and~~
 - G) ~~To monitor the resident in the areas of self-directed care and for overall compliance with the treatment plan.~~
- 5) ~~There shall be a PRSC for each 20 participants.~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

d) Director of Nursing

- 1) ~~A supervisory nursing position shall be established titled Director of Nursing (DON). The DON shall be a full-time employee who is on duty at least 36 hours per week and at least four days per week. This position shall not be included in the requirement for nursing staff coverage.~~
- 2) ~~The DON shall be a registered nurse, preferably with at least one year's clinical experience in a mental health setting or a master's degree in psychiatric nursing.~~
- 3) ~~The DON, in consultation with the facility's medical director, shall be responsible for the development and implementation of the facility's overall medical policies and practices, including:~~
 - A) ~~The administration, monitoring, and observation of resident medications;~~
 - B) ~~The medication education, compliance and self-administration program;~~
 - C) ~~The monitoring and coordination of residents' physical care, medical appointments, and diagnostic consultation;~~
 - D) ~~The health education of residents; and~~
 - E) ~~The supervision of the facility's nursing personnel.~~

e) Assistant Director of Nursing

- 1) ~~An Assistant Director of Nursing (ADON) position shall be established in facilities of 300 beds or more. The ADON shall be a full-time employee who is on duty at least 36 hours per week and at least four days per week.~~
- 2) ~~The ADON shall be a licensed nurse, preferably with at least one year of experience working with the chronically mentally ill.~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- 3) ~~In consultation with the DON, the ADON shall be responsible for the direct supervision, monitoring and implementation of the facility's medical policies and residents' health services.~~
- f) ~~Nursing Staff~~
- 1) ~~Adequate nursing personnel shall be provided to meet the medication, education and health needs of residents, and not fewer than one nurse per 40 residents in a 24 hour period shall be provided (i.e., a full-time equivalent ratio of one nurse to every 40 individuals being served).~~
 - 2) ~~Facilities shall have at least one licensed nurse (licensed practical nurse or registered nurse) on duty at all times.~~
 - 3) ~~Licensed practical nurses shall have successfully completed a pharmacology course or have at least one full year of full-time supervised experience in administering medications in a health care setting prior to employment.~~
- g) ~~Psychiatric Rehabilitation Services Aide~~
- 1) ~~Beginning January 1, 2003, sufficient psychiatric rehabilitation services aides (PRSAs) or persons who have successfully completed a psychiatric rehabilitation certificate program shall be on duty all hours of each day to provide services that meet the needs of the residents, and no fewer than one PRSA per 10 residents in a 24 hour period shall be provided (i.e., a full time equivalent ratio of one PRSA for every 10 individuals being served). Prior to January 1, 2003, if the facility does not employ PRSAs, the facility may employ certified nursing assistants (CNAs) if the following minimum training is provided within 30 days after the CNA's first day of employment:~~
 - A) ~~Understanding the impact of serious mental illness;~~
 - B) ~~Understanding the role of psychiatric rehabilitation, including how to manage psychiatric disabilities and countering stigma and discrimination;~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- C) Confidentiality;
 - D) Preventive strategies for managing aggression and crisis intervention;
 - E) Goals and function of case management;
 - F) Appropriate verbal and physical interaction;
 - G) Communication skills between staff and resident; and
 - H) Basic psychiatric rehabilitation techniques and service delivery.
- 2) ~~A facility shall not employ an individual as a PRSA unless the facility has inquired of the Department as to information in the Department's Nurse Aide Registry concerning the individual. (Section 3-206.01 of the Act) The Department shall advise the inquirer if the individual is on the Registry, if the individual has findings of abuse, neglect, or misappropriation of property in accordance with Section 3-206.01 and 3-206.02 of the Act, and if the individual has a current background check. (See Section 300.661 of this Part.)~~
- 3) The facility shall ensure that each PRSA complies with one of the following conditions:
- A) ~~Is approved on the Department's Nurse Aide Registry. "Approved" means that the PRSA has met the training or equivalency requirements of Section 300.663 of this Part and does not have a disqualifying criminal background check without a waiver.~~
 - B) ~~Begins an approved Psychiatric Rehabilitation Services Aide Training Program (see 77 Ill. Adm. Code 395) no later than 45 days after employment. The PRSA shall successfully complete the training program within 120 days after the date of initial employment. An aide enrolled in a program approved in accordance with 77 Ill. Adm. Code 395.150(a)(2) shall not be employed more than 120 days prior to successfully completing the program.~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

- ~~C) Within 120 days after initial employment, submits documentation to the Department in accordance with Section 300.663 of this Part to be registered on the Nurse Aide Registry.~~
- 4) Each person employed by the facility as a PRSA shall meet each of the following requirements:

 - ~~A) *Be at least 16 years of age, of temperate habits and good moral character, honest, reliable, and trustworthy* (Section 3-206(a)(1) of the Act);~~
 - ~~B) *Be able to speak and understand the English language or a language understood by a substantial percentage of the facility's residents* (Section 3-206(a)(2) of the Act);~~
 - ~~C) *Provide evidence of prior employment or occupation, if any, and residence for two years prior to present employment as a PRSA* (Section 3-206(a)(3) of the Act);~~
 - ~~D) *Have completed at least eight years of grade school or provide proof of equivalent knowledge* (Section 3-206(a)(4) of the Act).~~
- ~~5) *The facility shall certify that each PRSA employed by the facility meets the requirements of this Section. The certification shall be retained by the facility as part of the employee's personnel record.* (Section 3-206(d) and (e) of the Act)~~
- 6) During inspections of the facility, the Department may require PRSAs to demonstrate competency in the principles, techniques, and procedures covered by the basic PRSA training program curriculum described in the Long Term Care Assistants and Aides Training Programs Code (77 Ill. Adm. Code 395), when possible problems in the care provided by aides or other evidences of inadequate training are observed. The State approved manual skills evaluation testing format and forms will be used to determine competency of an aide when appropriate. Failure to demonstrate competency of the principles, techniques and procedures shall result in the provision of in-service training to the individual by the

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

facility. ~~The in-service training shall address the PRSA training principles and techniques relative to the procedures in which the aides are found to be deficient during inspection (see 77 Ill. Adm. Code 395).~~

- h) ~~Registry of Certified Psychiatric Rehabilitation Services Aides~~
 - 1) ~~An individual will be placed on the Nurse Aide Registry when he/she has successfully completed a training program approved in accordance with the Long Term Care Assistants and Aides Training Programs Code and has met background check information required in Section 300.661 of this Part, and when there are no findings of abuse, neglect, or misappropriation of property in accordance with Section 3-206.01 and 3-206.02 of the Act.~~
 - 2) ~~An individual will be placed on the Nurse Aide Registry if he/she has met background check information required in Section 300.661 of this Part and submits documentation supporting one of the following equivalencies:~~
 - A) ~~Documentation of current registration from another state as a PRSA.~~
 - B) ~~Documentation of successful completion of a PRSA training course approved by another state as evidenced by a diploma, certification or other written verification from the school. The documentation must demonstrate that the course is equivalent to, or exceeds, the requirements for PRSAs in the Long Term Care Assistants and Aides Training Programs Code.~~
- i) ~~An individual petitioning the Department to continue acting as a PRSD or a PRSC even if that person does not meet formal education requirements shall submit the following information to the Department:~~
 - 1) ~~Work history;~~
 - 2) ~~Education since high school;~~
 - 3) ~~Employment references;~~
 - 4) ~~A statement that the person was working in a capacity similar to the~~

ILLINOIS REGISTER

DEPARTMENT OF PUBLIC HEALTH

NOTICE OF PROPOSED AMENDMENTS

~~position for which he/she is seeking recognition; and~~

- 5) ~~Any other information that supports that the person is capable of meeting the professional standards of the position.~~

~~Within one year after the petition is approved, the individual shall complete the training offered by IDPA for PRSC/PRSD, as applicable.~~

j) Consultants

- 1) ~~A facility may use consultants with advanced professional degrees who meet the same requirements as facility personnel under this Subpart to provide psychiatric rehabilitation services and to provide expertise in the development and implementation of the facility's psychiatric rehabilitation services program and individual resident assessment and care planning.~~
- 2) ~~All consultants providing services at the facility who are not physicians shall complete the Illinois Department of Public Aid approved Psychiatric Rehabilitation Training Program.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)

Section 300.6095 Training and Continuing Education for Facilities Subject to Subpart T (Repealed)

- a) ~~Within the first 12 months after the facility elects to comply with Subpart T, the Psychiatric Rehabilitation Services Director, Psychiatric Rehabilitation Services Coordinator and the Director of Nursing shall complete an Illinois Department of Public Aid approved training program.~~
- b) ~~Within 12 months after completing the IDPA approved training and annually thereafter, the PRSD, PRSC, and DON shall participate in at least six continuing education units on psychiatric rehabilitation.~~
- e) ~~All consultants who are not physicians providing services at the facility shall complete the IDPA approved Psychiatric Rehabilitation Training Program.~~

(Source: Repealed at 38 Ill. Reg. _____, effective _____)